

# **EXHIBIT 27**

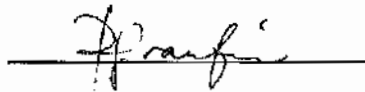
## **EXHIBIT 5**

**U.S. DISTRICT COURT  
FOR THE DISTRICT OF NEW HAMPSHIRE**

**CIVIL ACTION NO. 1:16-CB-00242-JL**

**BROWN, *et al.* v. SAINT GOBAIN**

**EXPERT REPORT OF  
PHILIPPE GRANDJEAN, MD, DMSc**

A handwritten signature in cursive script, appearing to read "Grandjean", is written over a horizontal line.

**PREPARED ON BEHALF OF  
PLAINTIFF BROWN *et al.***

**22 June, 2018**

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## I. INTRODUCTION

My name is Philippe Grandjean. I have been asked by the lawyers for the plaintiffs Brown et al. to provide an evaluation of the human health risks associated with environmental PFAS<sup>a</sup> contamination from Saint Gobain's manufacturing facility in Merrimack, NH.

The present report pertains to establishment of a medical monitoring program for persons who were significantly exposed to perfluorooctanoate (PFOA) and/or perfluorooctanesulfonic acid (PFOS), both of which are proven hazardous substances. Elevated exposure to these substances from releases from the Saint Gobain facility results in an increased risk of contracting serious disease that can be identified in early, latent stages by using existing diagnostic procedures.

The report is based on my education and experience as a physician and environmental epidemiologist, and on my review of the scientific literature and regulatory standards for those chemicals, as outlined below.

### A. Qualifications

I earned my M.D. and D.M.Sc. degrees from the University of Copenhagen, Denmark, in 1974 and 1978, respectively.

I serve as Adjunct Professor of Environmental Health at the Harvard School of Public Health (since 2003) and as Professor and Chair of Environmental Medicine at the University of Southern Denmark (since 1982). I previously served for a brief period (1980-1982) as the Director of the Department of Occupational Medicine at the Danish National Institute of Occupational Health, where I participated in discussions on monitoring programs for workers exposed to substances, such as lead and asbestos. Former positions in the U.S. include Research Fellow and Senior Fulbright Scholar, Mount Sinai School of Medicine in New York (1978-1979), and Adjunct Professor of Neurology and Environmental Health, Boston University Schools of Medicine and Public Health (1994-2002). As part of my employment as a civil servant in Denmark, I have served for more than 30 years as the Consultant in Toxicology to the Danish Health Authority. In the latter capacity, I have reviewed and commented on case reports, research studies, surveillance programs for populations exposed to hazardous substances, and proposed regulations on environmental chemicals. I also serve on the Scientific Committee of the European Environment Agency (EEA).

The efforts of my research in environmental epidemiology includes the health effects of exposures to environmental chemicals, with emphasis on perfluorinated alkylate substances (PFASs).<sup>a</sup> Most of my efforts have concentrated on the effects of environmental

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<sup>a</sup> Terminology concerning perfluorinated compounds has evolved. The term PFC had been used to refer generally to perfluorinated compounds. Recently, the scientific community has with more precision started to settle on the term PFAS to refer to the narrower family of perfluorinated alkylate substances, which family includes PFOA and PFOS, and essentially all related chemicals relevant in this matter. For ease of reference, and for consistency, I will, for the most part, use the term PFASs to refer to the group of perfluorinated compounds concerned in the present case, and will use more specific terms as appropriate. A table of the abbreviations I use in this report is attached as Exhibit A.

pollutants on early human development. My research has been entirely funded by public sources, mainly the National Institutes of Health. My current funding includes an \$8 million center grant from the Superfund Research Program (National Institute of Environmental Health Sciences), where I served as Center co-lead and PI for one of the four center projects. The Center focuses entirely on PFASs, how they disseminate, biomagnify and cause adverse health effects. I recently received support from the Danish Research Council for an international multi-center study on weight gain after dieting and the effects of PFAS exposure on body weight.

I have published about 500 scientific papers, of which most are research articles in international scientific journals with peer review. My h-index in the Web of Science data base is 64, and my work is cited well over a thousand times every year. Seven of my articles published in the last 10 years have earned the attribute "Highly Cited Paper," i.e., they received enough citations to place them in the top 1% of published papers in the field. This list includes an article on PFAS immunotoxicity published in the Journal of the American Medical Association (JAMA) in 2012. I have also authored or edited 20 books, including textbooks on environmental health and risk assessment.

I am regularly invited as speaker at international conferences and other scientific events. Regarding PFASs, I was invited to give a special presentation at the meeting of the (U.S.) National Advisory Environmental Health Sciences Council (at the National Institute of Environmental Health Sciences) in 2012, and also that year at a meeting of the Emerging Chemicals Workgroup, U.S. Environmental Protection Agency (EPA). Both presentations were on the immunotoxicity of PFASs. In the fall of 2016, I was invited to give a special presentation on PFOA at the committee meeting of the United Nations Stockholm Convention. I have just given a presentation at a meeting of the Society for Risk Analysis, and among my speaking commitments later this year is a special seminar on PFASs to be held at the ATSDR.

I am (Founding) Editor-in-Chief of the open-access scientific journal, Environmental Health (since 2002), which ranks among the upper 25% of journals in the field. I also serve or have served on editorial boards of about a dozen journals within medicine, environmental science, and toxicology. As editor and as reviewer for other major journals, I frequently evaluate manuscripts on environmental epidemiology and toxicology.

I have served on, sometimes chaired, or acted as rapporteur for, expert committees under the auspices of the World Health Organization (WHO), the International Agency for Research on Cancer (IARC), U.S. EPA, the European Commission, the European Food Safety Authority (EFSA), and other organizations. During my six-year membership of an EFSA expert panel, I participated in developing the opinion on 'Perfluorooctane sulfonate (PFOS), perfluorooctanoic acid (PFOA) and their salts' [1] and the 'Guidance of the Scientific Committee on Use of the benchmark dose approach in risk assessment' [2].

I recently served as a health expert for the State of Minnesota in a law suit against the 3M Company (State of Minnesota District Court for the County of Hennepin, Fourth Judicial District, Civil Action No. 27-CV-10-28862).

A copy of my most recent CV is attached as Exhibit B. A list of publications which I authored or co-authored, including those of the past 10 years, is attached as Exhibit C.

## B. Materials relied upon

For the purposes of this report, I have relied on my own experience, education, and training, on my own research, and on publications concerning PFASs. I also have reviewed the literature concerning studies by others on the human health risks associated with exposure to PFASs. The numbered references are listed in Exhibit D. Among other sources of information, such as the reports from the C8 panel [3], I have relied upon the previous draft ToxProfile from the Agency for Toxic Substances and Disease Registry (ATSDR) [4], the evaluation of immunotoxicity by the NTP [5], the assessment of carcinogenicity by IARC [6], and recent reviews [7-10].

In addition to epidemiological studies, I have considered supporting toxicological information from laboratory animal studies and *in vitro* models. My review focuses on the strongest evidence, and I do not necessarily cite all reports. While summarizing available documentation, I also outline the emergence over time of the knowledge on human PFAS exposures and associated risks. For relevant adverse health outcomes, I also highlight the types of medical monitoring that are likely to provide a benefit to exposed subjects.

In addition, I have reviewed the following documents:

Lawsuit With Class Definitions - Amended Master Complaint filed 12/13/2017  
 2012 Emissions Report, Saint-Gobain Merrimack Facility  
 2013 Emissions Report, Saint-Gobain Merrimack Facility  
 2014 Emissions Report, Saint-Gobain Merrimack Facility  
 2014 Air Permit Renewal Applications, Saint-Gobain Merrimack Facility with General Process Description  
 Barr Preliminary Air, Soil and Water Modeling Technical Memorandum RE: Saint-Gobain Merrimack Facility (June 2017): <https://www4.des.state.nh.us/IISProxy/IISProxy.dll?ContentId=4660822>  
 PFOA Results Table based on Samples Taken from Areas in Merrimack, Litchfield, Hollis and Manchester as of April 13, 2016: <https://www.des.nh.gov/organization/commissioner/documents/pfoa-results-table-20160413.pdf>  
 Merrimack Village District Water Works Test Results through 03/22/2018: [https://www4.des.state.nh.us/nh-pfas-investigation/?page\\_id=43](https://www4.des.state.nh.us/nh-pfas-investigation/?page_id=43)  
 Merrimack Village District Water Works Test Results (Updated 04/04/2017): <https://www.des.nh.gov/organization/commissioner/documents/pfoa-mvd-public-water-results-2017080317.pdf>  
 Merrimack Village District Community Exposure Assessment Summary Report (September 2017): <https://www.dhhs.nh.gov/dphs/pfcs/documents/mvd-pfc-09252017.pdf>  
 Spreadsheet of Poly and Perfluoroalkyl Substances Sampling Results for the Merrimack Village District Wells  
 Vermont Health Advisory Rationale - PFOA/PFOS Health Advisory dated 06/22/2016  
 PFOA Agricultural Data Summary Table, Towns of Litchfield and Merrimack (May/June 2016): <https://www.des.nh.gov/organization/commissioner/documents/pfoa-agricultural-data-summary-tables.pdf>  
 Powerpoint Presentation - Southern New Hampshire PFOA Investigation: Public Meeting in Merrimack, NH (03/23/2016): <http://des.nh.gov/organization/commissioner/documents/pfoa-merrimack-publicmgt-20160323.pdf>  
 NH Department of Environmental Services PFOA Contamination - Southern New Hampshire PFC Sampling Results (Updated 08/04/2017): <https://www.des.nh.gov/organization/commissioner/documents/pfoa-mvd-public-water-results-2017080317.pdf>  
 Aerial Photo - PFOA Investigation Private Well Results (Updated 05/13/2016)  
 NHDES Statewide Private Well Test Status dated 01/10/2017: <https://www.des.nh.gov/organization/commissioner/documents/pfoa-statewide-status-20170110.pdf>  
 NHDES Private Well Test Results Map Poster (Updated 01/09/2018): [https://www4.dcs.state.nh.us/nh-pfas-investigation/wp-content/uploads/2018/01/Map1Draft\\_Poster.pdf](https://www4.dcs.state.nh.us/nh-pfas-investigation/wp-content/uploads/2018/01/Map1Draft_Poster.pdf)  
 Saint-Gobain Plant Address for Google Search: <https://www.google.com/maps/place/701+Daniel+Webster+Hwy,+Merrimack,+NH+03054/@42.8942829,->



71.4693774,17z/data=13m114b114m513m411s0x89e24cbd5810c83f0x82a7255440ca00d718m213d42.894282914d-71.465

Air Emissions Stack Testing by Barr Engineering with Analyte List:  
<http://www4.des.state.nh.us/IIISProxy/IIISProxy.dll?ContentId=4688371>  
Early Private Water Test Results, May 13, 2016:  
<https://www.des.nh.gov/organization/commissioner/documents/pfoa-aerial-20160513.pdf>  
MVDWW – Public Water System – PFC Blood Testing Program:  
[https://wisdom.dhhs.nh.gov/wisdom/#Topic\\_0B30D5548CA64F328171E4FD80A52782\\_Anon](https://wisdom.dhhs.nh.gov/wisdom/#Topic_0B30D5548CA64F328171E4FD80A52782_Anon)  
Southern New Hampshire Blood Testing Program (through 08/23/2017):  
[https://wisdom.dhhs.nh.gov/wisdom/#Topic\\_IC17C06404C344CFA844C5A86E9AC510\\_Anon](https://wisdom.dhhs.nh.gov/wisdom/#Topic_IC17C06404C344CFA844C5A86E9AC510_Anon)  
NH DHHS Blood Testing Start Page:  
[https://wisdom.dhhs.nh.gov/wisdom/#TopicGroup\\_8203D9F1281247419C5C417B8E591CE7](https://wisdom.dhhs.nh.gov/wisdom/#TopicGroup_8203D9F1281247419C5C417B8E591CE7)  
Blood Test Brochure: <https://www.dhhs.nh.gov/dphs/pfcs/documents/pfc-results-brochure.pdf>  
Summary of the New Hampshire Department of Health and Human Services= Perfluorochemical (PFC) Blood Testing Program, 2016-2017: <https://www.dhhs.nh.gov/dphs/pfcs/documents/results-summary.pdf>  
New Main NHDES Site: <https://www4.des.state.nh.us/nh-pfas-investigation/>  
Old Main NHDES Site: <https://www.des.nh.gov/organization/commissioner/pfoa.htm>  
Old Site Archive – Historic Data: <https://www.des.nh.gov/organization/commissioner/pfoa-archive.htm>  
NHDHHS (Health and Human Services) Main Website: <https://www.dhhs.nh.gov/dphs/pfcs/index.htm>  
Hermens v. Textiles Coated, Inc. Order

### **C. Exhibits**

I may use as exhibits part or all of any of the documents or papers cited in this report including this report itself; graphs or tables drawn from data in any of those documents or papers, or any document helpful as foundation for or illustration of my testimony.

### **D. Updates and reservation**

The opinions expressed in this report are my own and are based on the data, documents, and facts available to me at the time of writing. Should additional relevant or pertinent information become available, I reserve the right to supplement the discussion and findings in my report. I also reserve the right to respond to any opinions on similar topics by other experts in this matter, and to respond to any criticism or comment on my opinions.

### **E. Compensation**

I am being compensated at the rate of \$250 per hour for my time, which is my customary rate for matters of this type. My compensation does not depend in any way on the content of my opinions.

### **F. Previous service as expert at deposition or trial during last 4 years**

State of Minnesota District Court for the County of Hennepin, Fourth Judicial District, Civil Action No. 27-CV-10-28862, deposition only.

United States district court for the Eastern District of Missouri (United States of America, et al. v. Ameren Missouri, Case No 4:11Cv77), deposition only.

## **II. SUMMARY OF OPINIONS**

The opinions expressed in this report, which are described below in more detail along with supportive opinions, can be generally summarized as follows:

- PFASs constitute a proven hazard to human health. Based on the weight of the evidence, PFASs pose a substantial present and potential hazard to at least human immune system functions, reproductive functions including adverse effects to the next generation, important endocrine functions, and liver functions, and by causing or increasing the risk of cardiovascular disease and cancer. As a major PFAS, PFOA shows convincing associations with these outcomes.
- Adverse health effects have been documented in epidemiological studies at so-called background exposure levels. The emissions from the Saint Gobain facility in Merrimack, NH, led to elevated exposures that are associated with increased risks of disease development. Although some exposures in the affected communities may be below some health advisories, recent and currently developing scientific insight indicates that such exposures should not be considered safe.
- Adverse effects of PFASs were identified in animal studies as far back as the 1970s, but the results were not published nor pursued to better characterize the nature of the hazards. Also, documentation of the transfer of PFASs across the placenta and via maternal milk was not revealed to the public. Only during the last 10 years or so has independent research, at a substantial delay, begun to examine adverse effects of PFASs. By now, risks to human health have been identified at exposure levels that in the past were considered safe, and ongoing research will likely show additional risks at “low” exposures.
- I conclude that members of the classes of people defined in the law suit have been significantly exposed to a proven hazardous substance and as a result of that exposure suffers an increased risk of developing serious latent disease, which may be detected at an early stage by current clinical methods. The significantly increased risk makes medical monitoring necessary beyond what would be prescribed in the absence of exposure.

## **III. BACKGROUND ON PFAS PRODUCTION AND CONTAMINATION**

### **A. An abbreviated history of PFAS production**

By way of brief background, my understanding of the history of PFAS production is basically as follows.

Saint-Gobain Performance Plastics Corp. owns a manufacturing facility in Merrimack, NH. The site was previously owned by the ChemFab Corp. The facility used ammonium perfluorooctanoate (APFO), a derivative of perfluorooctanoic acid (PFOA), as coating material for woven fiberglass and other fabric. The PFOA was fused to the fabric at high temperatures in coating towers, but for many years the company did not use any emission control systems to reduce or eliminate PFOA emissions. APFO is regulated by the New Hampshire

Department of Environmental Services (NHDES) as an air toxic pollutant, and in 2001, Saint-Gobain obtained a permit for expanded operations. In 2004, Saint-Gobain shared data with NHDES identifying that APFO emissions were occurring.<sup>b</sup>

The NHDES determined in 2005, after emissions testing in Merrimack, that the potential existed to exceed ambient air recommendations for APFO. The following year, an administrative Order by Consent required the phase-out of APFO use at facility.

In emissions reports to the State, the company reported APFO emissions of about 0.8 pounds per month and a total just above 8 pounds for the year 2012, and nothing in 2013 and 2014.<sup>c</sup> The validity of these statements is not considered here, nor is the validity of the engineering report from Barr Engineering that presents some calculations of emissions.<sup>d</sup> The Barr report clearly shows airborne emissions affecting the surrounding Merrimack, Litchfield, Bedford, and Manchester.

The analyses clearly show that the manufacturing processes resulted in contamination of air and as a result also of soil and household water in the communities surrounding the Merrimack facility.

The Merrimack Village District Water Works (MVDWW) serves about 25,000 Merrimack residents and relies on groundwater wells that include several near the Saint-Gobain plant. In early 2016, the company reported to the New Hampshire Department of Environmental Services (NHDES) that municipal water was contaminated with PFOA. Soon, the NHDES began testing to determine the extent of contamination and its sources. Following the first results, the NHDES recommended to nearby residents to use bottled water to avoid PFOA exposure. Analyses of the public water supply in 2017 showed results below the 70 ppt guideline,<sup>e</sup> but this guideline is not protective, as discussed later in this report. In 2017, 22% of drinking water samples from private wells in the Saint Gobain Investigation Area (Merrimack, Bedford, Litchfield, and Manchester) showed sums of PFOA and PFOS above the 70 ppt guideline.<sup>f</sup> The most recent map of analyses of private wells shows concentrations that range up to and above 2,000 ppt.<sup>g</sup>

The exposure situation in Merrimack, Litchfield, Bedford, and Manchester, resembles PFAS contamination problems elsewhere in the U.S., including other New England sites owned by Saint-Gobain, and PFAS manufacturing sites and military airports. Initial

<sup>b</sup> Powerpoint Presentation - Southern New Hampshire PFOA Investigation: Public Meeting in Merrimack, NH (03/23/2016); <http://des.nh.gov/organization/commissioner/documents/pfoa-merrimack-publicmgt-20160323.pdf>

<sup>c</sup> 2012 Emissions Report, Saint-Gobain Merrimack Facility; 2013 Emissions Report, Saint-Gobain Merrimack Facility; 2014 Emissions Report, Saint-Gobain Merrimack Facility.

<sup>d</sup> Preliminary Air, Soil, and Water Modeling Technical Memorandum: Merrimack, New Hampshire. Prepared for Saint-Gobain Performance Plastics, by Barr Engineering Co., 2017.

<sup>e</sup> NH Department of Environmental Services PFOA Contamination - Southern New Hampshire PFC Sampling Results (Updated 08/04/2017); <https://www.des.nh.gov/organization/commissioner/documents/pfoa-mvd-public-water-results-2017080317.pdf>.

<sup>f</sup> NHDES Statewide Private Well Test Status dated 01/10/2017;

<https://www.des.nh.gov/organization/commissioner/documents/pfoa-statewide-status-20170110.pdf>

<sup>g</sup> NHDES Private Well Test Results Map Poster (Updated 01/09/2018); [https://www4.des.state.nh.us/nh-pfas-investigation/wp-content/uploads/2018/01/Map1Draft\\_Poster.pdf](https://www4.des.state.nh.us/nh-pfas-investigation/wp-content/uploads/2018/01/Map1Draft_Poster.pdf).

attention to PFASs in the environment was first inspired by the 2000 agreement by a major producer, 3M, to begin a phase-out of its PFOA production. Soon thereafter, the academic research community became aware of the environmental dissemination of PFASs following legal proceedings regarding the contamination of the Upper Ohio River Valley. These experiences inspired an increased scientific interest in PFASs, especially during the most recent 10 years, as revealed by a growing number of scholarly papers published on PFAS contamination, human exposures and adverse effects in experimental models and epidemiological studies.

## **B. Environmental contamination by PFAS**

By way of background, I shall outline my basic understanding of environmental contamination with PFASs.

Major physicochemical properties of PFASs were characterized in publications as early as 1951 [11]. Standard chemical handbooks listed PFAS vapor pressures and water solubilities at least by the 1970s (although they may not have been accurate). Many PFASs (or their salts or precursors) are somewhat water soluble and can potentially leach through soil to reach the groundwater, while some compounds have a sufficient vapor pressure to allow their dissemination via the atmosphere.

PFASs do not occur naturally. Many PFASs show high thermal, chemical and apparent biological inertness, properties that made them useful for certain industrial purposes, though at the same time also rendering these compounds an environmental hazard due to the potential for persistence and bioaccumulation [12]. The carbon-fluorine bond is strong, thus making the PFASs virtually indestructible in the environment and in the human body.

Although most PFASs are oleophobic and therefore do not accumulate in fatty tissues (in contrast to dioxin, PCBs, and several other persistent compounds); especially PFOS is now known to bioaccumulate in aquatic and marine food chains [13]. Long-range aqueous transport allows PFASs in their soluble anionic forms to reach remote locations. In addition, several precursor compounds can be metabolized to PFOA and PFOS in the environment or in humans.

The Stockholm Convention was launched by the United Nations in 2001. Appendix B (substances to be restricted) now lists PFOS, and PFOA is currently under final review before a decision on inclusion, most likely also in Appendix B. Likewise, the European Chemicals Agency (ECHA) has already listed PFOA on the Candidate List of substances of very high concern (SVHCs) for mandatory authorization procedures. These agencies regard PFOA and associated PFASs a human health hazard.

## **C. Environmental contamination from the Saint Gobain facility**

I understand that the operations at the Saint Gobain facility in Merrimack involved the treatment of fabrics with PFOA and that the operations resulted in substantial releases of PFOA to the environment:



- For an unknown period – possibly beginning decades ago – residents in Merrimack, Litchfield, Bedford, and Manchester, NH, have inhaled air and household dust and consumed drinking water and, quite likely, farm products contaminated with PFOA, an established health hazard. The total number of residents exposed to significant amounts of this pollution over time likely numbers more than one thousand.
- Following the Saint-Gobain report to the NHDES that PFOA occurred in drinking water, the MVD detected at 30 parts per trillion (ppt) in the community water. The NHDES and MVD took steps to sample drinking water in the area, and bottled water was delivered to residents. This service was restricted to properties with a water-PFOA level above 100 ppt.
- Accumulated concentrations in the body are known to decrease only slowly after cessation of exposure. The cumulated PFAS burdens in past and present Merrimack, Litchfield, Bedford, and Manchester residents will remain elevated for many years to come and will depend on the extent to which continued exposures are minimized.
- Among adverse health outcomes known to occur at increased incidence at elevated PFAS exposures, several can be detected at an early stage of disease development where medical intervention is possible and beneficial, thereby providing justification for providing medical monitoring to the exposed population.

As outlined above in section III.A, PFOA was used at the Saint Gobain facility, and environmental dissemination from stacks and otherwise led to contamination of the air, the soil, the household dust, and the groundwater used by the MVDWW, which provides about 25,000 residents with drinking water. The contamination from the Saint Gobain facility also reached nearby residents.

The Barr report confirms that there have been substantial air emissions from the facility.<sup>b</sup> The NHDES has tested soil and private drinking water wells and confirmed the presence of substantially elevated concentrations of PFASs in both.<sup>i</sup> The Merrimack MVDWW has sampled its supply wells and confirmed substantial contamination.<sup>j</sup> In 2016, water levels ranged up to 1,600 ppt, i.e., more than 200-fold above the U.S. EPA guideline of 70 ppt. The NHDES web site contains results that show substantial concentration in agricultural soils,<sup>k</sup> thus indicating that local food production was likely contaminated as well (see Section III.E.1).

One  $\mu\text{g}$  (microgram) is one millionth of a gram, and this unit is one thousand times greater than 1 ng (nanogram), which is one billionth of a gram. Sometimes, concentrations

<sup>b</sup> Barr Preliminary Air, Soil and Water Modeling Technical Memorandum RE: Saint-Gobain Merrimack Facility (June 2017): <https://www4.des.state.nh.us/IISProxy/IISProxy.dll?ContentId=4660822>

<sup>i</sup> PFOA Results Table based on Samples Taken from Areas in Merrimack, Litchfield, Hollis and Manchester as of April 13, 2016: <https://www.des.nh.gov/organization/commissioner/documents/pfoa-results-table-20160413.pdf>

<sup>j</sup> Merrimack Village District Water Works Test Results through 03/22/2018: [https://www4.des.state.nh.us/nh-pfas-investigation/?page\\_id=43](https://www4.des.state.nh.us/nh-pfas-investigation/?page_id=43); Merrimack Village District Water Works Test Results (Updated 04/04/2017): <https://www.des.nh.gov/organization/commissioner/documents/pfoa-mvd-public-water-results-2017080317.pdf>

Merrimack Village District Community Exposure Assessment Summary Report (September 2017): <https://www.dhhs.nh.gov/dphs/pfcs/documents/mvd-pfc-09252017.pdf>

<sup>k</sup> PFOA Agricultural Data Summary Table, Towns of Litchfield and Merrimack (May/June 2016): <https://www.des.nh.gov/organization/commissioner/documents/pfoa-agricultural-data-summary-tables.pdf>

of PFOA in water are expressed in terms of parts per trillion (ppt), which refers to the relative weight of the contaminant. One ppt is therefore the same as 1 ng/L, or 0.001 µg/L. Such concentrations may seem miniscule, but when contaminated water is consumed, the PFOA is retained in the body, while the water is eliminated via urine, sweat, and exhaled air. Regarding adverse health risks, the key parameter is therefore the amount of PFOA ingested over time, rather than the water concentration alone.

The current EPA health advisory for PFOA in water is 0.07 µg/L, or 70 ng/L (ppt), and the state has adopted the EPA guideline as a standard for groundwater quality. These guidelines were entirely based on animal toxicology tests, although substantial evidence has become available on human PFOA toxicity. In addition, common laboratory animals are known to differ substantially from humans in regard to PFAS metabolism and risks of adverse effects. Recommended guidelines have greatly decreased with time and are expected to further decrease, as reviewed in Section VIII.

It is not known precisely when the groundwater contamination in surrounding communities began. However, due to the persistence of PFOA and its solubility in water, contaminated ground water likely developed over several years and affected private wells and community water supplies already decades ago. As the water contamination likely began many years ago, the exposed population also includes former residents. Current and former residents have been exposed at levels that cannot be considered safe.

As described in sections VII and VIII, PFAS exposure constitutes a greater hazard during early development. It is my opinion that populations are at substantially increased risk, as defined in the law suit.<sup>1</sup> That means that the subjects at elevated risk were exposed to contaminated drinking water for at least one year before age 20 years, at increased risk if the PFOA concentration was 20 ppt or above that level. This limit corresponds to the current guideline for water-PFOA in the State of Vermont.<sup>m</sup> Likewise, I shall consider adults above 20 years at substantially increased risk if they consumed contaminated drinking water at a level of 70 ppt for one year or more, the 70 ppt being the current U.S. EPA health guideline. It is my opinion that subjects exposed even at lower water contamination levels or a shorter time periods are also at elevated risk.

Air pollution from the production facility resulted in surface deposition and soil contamination. The latter has been documented by the NHDES as the likely cause of the groundwater contamination seen in the present case. Food is contaminated via the use of drinking water for preparation of soup, gravy, and other foods, and from contamination of private herb gardens. In addition to air and water, other exposure pathways, such as dust, may have been affected by releases from the Saint Gobain facility, thereby indirectly contributing to elevated human exposures.

Contaminated water and soil at local farms can contaminate agricultural products. This pathway of food contamination is well documented [14], and PFAS-contaminated ground water has led to elevated concentrations in serum from sheep and cattle, and in chicken eggs

<sup>1</sup> Lawsuit With Class Definitions - Amended Master Complaint filed 12/13/2017

<sup>m</sup> Vermont Health Advisory Rationale - PFOA/PFOS Health Advisory dated 06/22/2016.

[15]. Contaminated feed is known to result in elevated concentrations of PFASs in cattle and in cow's milk, although muscle tissue concentrations were less affected [16].

Evidence has accumulated that PFASs are excreted in human milk [17]. Analyses of paired samples of maternal serum, cord serum, and maternal milk have demonstrated that PFASs are transferred through the human placenta and via human milk [18, 19]. During breastfeeding, the cumulated postnatal exposure to PFASs through lactation can be substantial, especially for PFOA [19], thereby causing additional exposures during sensitive early development. From serial blood samples in young children, a recent study showed that breastfeeding could increase an infant's blood concentrations of PFASs to several-fold above the mother's [20]. Thus, the contamination from the Saint Gobain facility has disproportionately affected children born to mothers exposed to PFASs emitted from the plant.

Additional to PFASs in maternal milk, infants fed formula prepared with contaminated drinking water have been substantially exposed to PFASs. Observed ratios between PFOA concentrations in drinking water and human serum and between concentrations in human serum and milk suggest that milk concentrations may approximate those occurring in contaminated drinking water [7]. Thus, infants from the contaminated communities likely had highly elevated exposures no matter they were breastfed or bottle-fed. A contributing factor is that infants and children have a higher fluid consumption compared to adults on a body weight basis. Accordingly, PFAS burdens will increase more rapidly than in adults, and exposures to house dust and other domestic sources can further increase this difference [21, 22].

I note that the accumulated evidence on exposure via breastmilk as well as transplacental passage has inspired downward revisions of the guidelines for PFOA and PFOS in drinking water [23]. These findings suggest that risk assessments relying on life-time exposures underestimate the true risks to the exposed population, as the fetus and the infant are undergoing rapid development that render them highly susceptible to PFAS toxicity. This issue is also dealt with in Section VII.

In conclusion, thousands of residents have been exposed to PFASs from environmental pollution from the Saint Gobain facility that has affected drinking water and other media, including agricultural products. The contamination was revealed publicly in 2016, but likely reached the residents much earlier, perhaps as much as 20 years ago. Because the PFASs are transferred via the placenta and excreted in human milk, the next generation must be considered at particular risk, whether breastfed or bottle-fed with substitute made with contaminated water.

#### **IV. HUMAN EXPOSURE TO PERFLUORINATED COMPOUNDS**

##### **A. Detection and distribution of PFASs in humans**

The long-chain PFASs are persistent in the human body and therefore stay in the blood and in organs for a long time. They may be excreted in urine, though only slowly, and the elimination half-lives are several years. This means that a continuing exposure results in accumulation of the PFASs in the body. The concentration in a blood sample therefore reflects exposures that happened during the past several years. On the other hand, when exposure stops,



then elimination will be slow, usually with a constant fraction being excreted each month, each year. First-order toxicokinetics are usually assumed, i.e., that constant fractions of serum concentrations are eliminated over time [24, 25]. The time it takes for half the compound to be eliminated is called the (biological or elimination) half-life.

From serial analyses of serum samples from former 3M production workers after retirement, half-lives for long-chain PFASs have been estimated to be ~3 years (PFOA), ~5 years (PFOS), while most short-chain PFASs have a relatively short  $T_{1/2}$  in serum [26]. However, the serum half-life for perfluorohexane sulfonic acid (PFHxS) is unusually long, i.e., ~9 years. If the exposure is not eliminated during the follow-up, the body burden will decrease more slowly (if at all). However, calculations based on serum concentrations may be erroneous, as very high PFAS concentrations in various organs show that "deep" compartments, e.g., in the liver may have much longer retention times [27].

Accordingly, these half-life calculations are not precise. Also, the correlation between PFAS chain length and half-life is not absolute in that, for example, the six-carbon chain (C6) PFHxS has one of the longest half-lives, while eight-carbon chain (C8) PFASs such as PFOA and PFOS have somewhat shorter half-lives (see above). Animal studies suggest that, at high exposure levels, the renal excretion may become concentration-dependent as the tubular reabsorption mediated by organic anion transporters becomes saturated [28], and variability in kidney function between subjects may also play a role. Thus, while toxicokinetic modeling may depend on the range of blood concentrations and the individual variability associated with model parameters [25], reasonable results have been obtained modeling PFAS kinetics using a first-order model [24, 29]. This approach has been used by the C8 Panel to estimate serum concentrations both before and after blood sampling.

Laboratory animals, especially the rat, show different retention patterns and even sex-related differences in elimination rates. Most laboratory animals have much shorter elimination half-lives than humans. In interpreting toxicology studies, the specific accumulation patterns must therefore be considered. An appropriate way of ensuring proper interspecies comparisons often relies on serum concentrations (or tissue concentrations), rather than dose levels.

#### **B. PFAS exposure in Merrimack, Litchfield, Bedford, and Manchester**

PFOA is a long-chain PFAS that is very stable in the body and therefore has a long half-life in serum. PFOA therefore accumulates and remains in the body up to many years after cessation of the exposure.

For an unknown period that may have lasted decades, residents in the affected area have consumed drinking water contaminated with PFOA and perhaps other PFASs. Due to the contamination of one or more aquifers serving nearby communities, it is likely that thousands of residents have been exposed to excess amounts of PFASs, and long-term residents may have been exposed to elevated concentrations for decades.

Analyses of serum-PFOA concentrations have been carried out to assess the build-up of the compound in residents. For example, for MVDWW customers, a graphic



illustration and a table shows the variation in serum-PFOA levels among randomly selected people.<sup>n</sup> Only group-wise data are given on age and sex, but none on duration of residence, or other characteristics (such as daily water consumption, dietary habits, number of pregnancies, duration of breastfeeding), and the numbers are therefore of limited use. However, the 95<sup>th</sup> percentile is about twice as high as the level reported in a nation-wide sample, thus documenting that at least ten of the participants had excessive exposures. The graph shows that one of them had a serum level above 36 µg/L, about 20-fold the U.S. average.

State studies of southern New Hampshire residents show greater excesses.<sup>o</sup> Here the 95th percentile is more than five-fold higher than the level reported in a nation-wide sample. The graph shows that eight had a serum level above 36 µg/L, about 20-fold the U.S. average. A total of 30 subjects (14% of the sample) had more than 11 µg/L, i.e. twice the 95<sup>th</sup> percentile. Again, the participants do not necessarily reflect the exposures that result after long-term exposures to the contaminated drinking water.

Despite the lack of information on major predictors, and without information on participation rates, the results show the occurrence of highly elevated serum concentrations that are in accordance with the water contamination data. The state has provided brochures and other public information materials that suggest that serum-PFOA increases in the southern part of the state are much less than at other well-known contamination sites.<sup>p</sup> That may be true, but the study designs are far from ideal, and the information released do not allow a detailed evaluation.

Thus, excessive serum-PFOA levels occur among a sizable proportion of residents affected by the Saint Gobain emissions. Although excess exposures primarily regard PFOA, but any additional contamination with PFOS and PFHxS would add to the risk of adverse health effects.

## **V. METHODOLOGY IN HAZARD EVALUATION**

### **A. Interpretation of epidemiology studies**

In evaluating the evidence on PFASs, a weight-of-the-evidence approach must be used, where observational epidemiological studies contribute substantially. Because it would be unethical to conduct experimental studies in humans with substances that may cause cancer or other serious effects, the main human epidemiological evidence on the PFASs comes from observational studies of occupational cohorts and from community studies of subjects exposed at different so-called background levels such as through contaminated drinking water.

This evidence is being reviewed for two purposes, i.e., to establish general causation for elevated PFAS exposure leading to adverse health outcomes and to examine the

<sup>n</sup> MVDWW – Public Water System – PFC Blood Testing Program:

[https://wisdom.dhhs.nh.gov/wisdom/#Topic\\_0B30D5548CA64F328171E4FD80A52782\\_Anon](https://wisdom.dhhs.nh.gov/wisdom/#Topic_0B30D5548CA64F328171E4FD80A52782_Anon)

<sup>o</sup> Southern New Hampshire Blood Testing Program (through 08/23/2017):

[https://wisdom.dhhs.nh.gov/wisdom/#Topic\\_1C17C06404C344CFA844C5A86E9AC510\\_Anon](https://wisdom.dhhs.nh.gov/wisdom/#Topic_1C17C06404C344CFA844C5A86E9AC510_Anon)

<sup>p</sup> Blood Test Brochure: <https://www.dhhs.nh.gov/dphs/pfcs/documents/pfc-results-brochure.pdf>; Summary of the New Hampshire Department of Health and Human Services= Perfluorochemical (PFC) Blood Testing Program, 2016-2017: <https://www.dhhs.nh.gov/dphs/pfcs/documents/results-summary.pdf>.

justifications for apply screening as part of medical monitoring for such adverse outcomes in the exposed population in Merrimack, Litchfield, Bedford, and Manchester.

### **1. Early occupational studies**

The published occupational studies mainly regard males and are generally cross-sectional, with only few providing follow-up over several years. In some studies, blood analyses provide information on accumulated exposure levels at the point of time where the blood was drawn, sometimes measured as the total organic fluoride concentration. Some articles report on medical monitoring data, but the statistical analyses appear erroneous or biased.

Information from cross-sectional or prospective studies of worker populations exposed to highly increased levels of PFOA and other PFASs is useful, though can be complex to interpret. For example, follow-up studies of workers can show an overall mortality deficit [30-32], i.e., that the exposed workers lived longer than expected for the general population. Further, an easily available comparison group, e.g., the U.S. general population may not be appropriate, if a disease, such as prostate cancer, occurs at a different (lower) rate in the background population of Minnesota, as compared to the U.S. as a whole. In addition, deficits in mortality or morbidity should not necessarily be interpreted as a sign that PFAS exposure is beneficial to health, but rather that the exposed population, at least at the time of first hire, was in a better health condition and with better longevity prospects than the background population, which includes the non-working population, some of whom may suffer from chronic disease or otherwise have an increased mortality risk. Such selection bias is well established. Although it does not affect all health outcomes uniformly, the healthy worker effect demands critical assessment of relative risks, not just those that may show a statistically significant excess, but all outcomes [33]. Both total cancer and prostate cancer were elevated in the two groups when compared to Minnesota rates. The findings are similar to an article by Drs. Gilliland and Mandel published in 1993 [30].

Partial adjustment may be achieved by using occupational comparison groups that have not been exposed to PFASs or other hazards. Another local occupational group might avoid "healthy worker" selection bias and geographical differences, but one must then make sure that the comparison group is not exposed to some other toxicants. As an optimal comparison group may not be available, it may be preferable to show the results from the exposed population in comparison with more than one reference population and to identify the strengths and weaknesses of such comparisons.

As a further concern, mortality statistics are limited by numbers of deaths and provide little information about diseases that are rare, occur mainly in the elderly or that are not reflected well by mortality data that may not include all contributing conditions at the time of death. As an alternative, some studies use clinical pathology tests or other diagnostic means obtained at a particular point in time, to uniformly establish disease or risk markers, although such data may sometimes be complex to interpret in terms of long-term health implications.

### **2. General population studies**

Highly relevant information regarding environmental health risks often originate from prospective studies of cohorts generated within the general population. For PFASs, this can

mean either local communities affected by contamination of drinking water and other emissions from production facilities or from more general ("background") environmental exposures. Many studies are cross-sectional, but the validity of serum-PFAS concentrations as long-term exposure biomarkers can be supported by the long elimination half-life of PFASs. Nonetheless, prospective studies often can be more useful, as changes in exposures can be better linked to changes in health.

Also, birth cohorts are crucial, as they can reveal impacts of exposures during early stages, but such data are often limited in numbers, and long-term follow-up studies are expensive and of course take a long time. Thus, while many human studies have focused on gainfully employed adult males, only a small number of published articles relate to developmental exposure and vulnerable subgroups such as pregnant women and children. The limited extent of prospective information on exposed birth cohorts is unfortunate, as it is not just the dose that can matter but also the timing of the dosing in regard to the developmental stage of the subjects [34]. As illustrated by laboratory animal data, developmental exposure to PFOA induces effects that are not necessarily seen in response to exposures during adulthood [35].

Many studies, also in exposed communities, are limited by uncertain chronic PFAS exposure information (in part because early exposure measurements or estimates, when available, may have been inaccurate). Studies with prospective information on exposure levels are few. Again, data regarding prenatal or childhood exposures remain scant at this point. A further concern is that exposures are usually mixed, and it may be difficult to distinguish between effects attributable to particular PFASs. However, the presence of such limitations and perhaps absence of statistical significance in individual studies should not be misinterpreted as evidence that PFAS exposures are innocuous. On the contrary, in evaluating the weight of the evidence, the question must be asked what each study could potentially reveal, given the design and choice of study parameters.

Similarly, the absence of evidence on PFAS toxicity should not be misinterpreted as proof that PFASs lack toxicity. An expert group appointed by the National Research Council (NRC) refers to this erroneous conclusion as the "untested chemicals assumption" [36].

### **3. Bias toward the null**

In the field of epidemiology, there is a well-known and often misleading bias toward the null, of which epidemiologists (and readers of epidemiology reports) need to be careful, especially when human health is at stake. Studies that do not show a statistical significance are sometimes called "negative," although this is misleading. A better word is non-informative. Joint analyses of several such studies may well show a significant difference or trend. Table 1 highlights common causes of bias toward the null in epidemiological studies, i.e., reasons that a study might not show the existence of a risk that indeed is present, though hidden due to the bias. While biases in the opposite direction also exist, they are usually of much less significance [37].

The bias toward the null is particularly problematic where human health is concerned; scientists and public health officers therefore often assess and rely on the direction or weight of the evidence and not solely on statistical significance, as it may take a very long time

to obtain complete and irrefutable proof. Thus, observational studies will rarely if ever provide a 100 percent proof, and it is always possible for someone critical of the weight of the evidence to raise some type of doubt seeking to require additional or improved data before a conclusion can be drawn [38, 39]. It is important to repeat that the presence of uncertainties often tends to cause underestimations of actual risks, not the opposite, and this issue is of importance especially regarding substances that have not yet been studied in the detail desired. Again, many unfortunate past errors in regard to industrial chemicals have shown that initial assessments were erroneous and led to an underestimation of the true risks [40].

*Table 1. Causes of bias toward the null in epidemiology studies [37].*

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Inadequate statistical power in small studies
Lost cases and inadequate follow-up for long-term effects
Exposed or otherwise inappropriate comparison (control) group
Exposure misclassification
Insensitive or imprecise outcome measures
Failure to adjust for confounders with effects in the opposite direction
Disregarding vulnerable subgroups
5% probability level to minimize risk of false positives (Type I error)
20% probability level to minimize risk of false negatives (Type II error)
Pressure to avoid false alarm

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In the present report, while considering the extent of possible biases, my conclusions are based on the strength of the evidence and are stated in terms of assessing whether PFASs pose a substantial present and potential hazard to human health. In many instances, the existing evidence of a hazard is much stronger than that, but I understand this to be the applicable legal standard. I shall also rely on the findings regarding the PFAS contamination in WV-OH, where "probable link" assessments were requested by the court and then provided by the C8 scientific panel in its reports. My evaluation as an expert therefore considers the uncertainties involved, the plausibility and what could possibly be known, given the study opportunities and methodologies applied.

#### **B. Toxicity and interpretation of data**

In the absence of randomized clinical trials on PFASs, the hazard evaluation must be based on observational epidemiology studies. Thus, I shall also examine the toxicological evidence from animal studies to evaluate the plausibility of the epidemiological findings, i.e., whether or not their plausibility is supported by the experimental evidence. Still the toxicology studies carried out do not necessarily cover all desirable endpoints as well as dosages and species, and some adverse effects seen in humans are not yet supported by toxicology studies.

#### **C. Medical surveillance and screening**

Criteria have been developed for targeted medical monitoring of exposed populations exposed to significant hazards, at first under the auspices of the World Health Organization, then updated [41, 42], and later revised under the auspices of the National Institute of Occupational Health and Safety [43]. Table 2 shows criteria for evaluating the exposed



populations, as spelled out in a recent legal decision.<sup>9</sup> In agreement with the criteria listed, elevated PFAS exposures are linked to an increased risk of important health problems, including immune system dysfunction, reproductive dysfunction, and endocrine disruption, and increased risks of cardiovascular disease and cancer. Early detection of latent disease is possible and can lead to treatment of known efficacy.

*Table 2. Screening criteria in agreement with the TCI case decision*

1. There should be a recognizable latent or early symptomatic stage.
2. There should be a suitable test or examination.
3. The condition sought should be an important health problem.
4. There should be an accepted treatment for patients with recognized disease.

My recommendations for medical monitoring meet these criteria. For the PFAS-exposed communities, diagnostic testing is reasonably necessary, given the documented exposures. This monitoring should be carried out without regard to the residents' prior health or other risk factors, as the elevated disease risks have been identified in the general population, i.e., without regard to other risk factors. While the risk analysis is independent of these factors, shorter intervals between examinations may be appropriate for subjects with any such additional risk factors. Thus, my assessment of need for medical monitoring is based on exposures created by Saint Gobain facility in Merrimack and do not depend on individual state of health, although that may result in an increased frequency of monitoring that is otherwise not available to this population.

## **VI. KNOWLEDGE ON HEALTH EFFECTS**

I outline in this section the general development over time of PFAS-related research. I also touch upon key studies and reviews to outline how information was obtained over time and how knowledge was pursued (or wasn't) over time.

### **A. Growth of PFAS research**

Even though PFASs have been produced for over 60 years, independent publication on PFAS toxicity only began in earnest about 10 years ago [44]. The broader scientific community, therefore, is still at an early stage of understanding about how human exposure to these compounds affects health. For example, chronic toxicity studies have been published only based on rats [7]. A formal cancer bioassay is missing. In addition, insufficient attention had been paid to exposures during sensitive developmental stages. I note in particular that few epidemiology studies have focused on exposures during infancy or prenatally, although early development must be considered a highly vulnerable period that must be taken into regard when determining exposure guidelines [45].

Since the first reports in scholarly journals that revealed widespread global occurrence of PFOS in wildlife [46] and the detection of PFASs in blood from the general population [47] were published about 2000, the scientific literature on the environmental and

<sup>9</sup> *Hermens v. Textiles Coated, Inc.* Order

toxicological aspects of PFASs has increased, and the annual number of publications on the PFASs is said to exceed 400 [48]. Still, by comparison, the quantity is less than for many other chemicals for which there are also human health concerns. Most of the published articles on human health risks from PFASs are fairly recent. When we examined the 120,000 articles published in the 78 major journals within the fields of environmental science, toxicology and public health during the first 10 years of this millennium, we found only 271 articles that referred to PFOS and a slightly higher number (363) on PFOA (most of the articles being the same) [44]. For comparison, the twenty most mentioned environmental chemicals (e.g., toxic metals, PCBs, and PAHs) were each covered in over 2,000 articles during this period, lead alone was dealt with by close to 1,000 articles each year. Thus, PFASs were not a research priority in environmental health, at least up to 2007, as also seen in Figure 1.

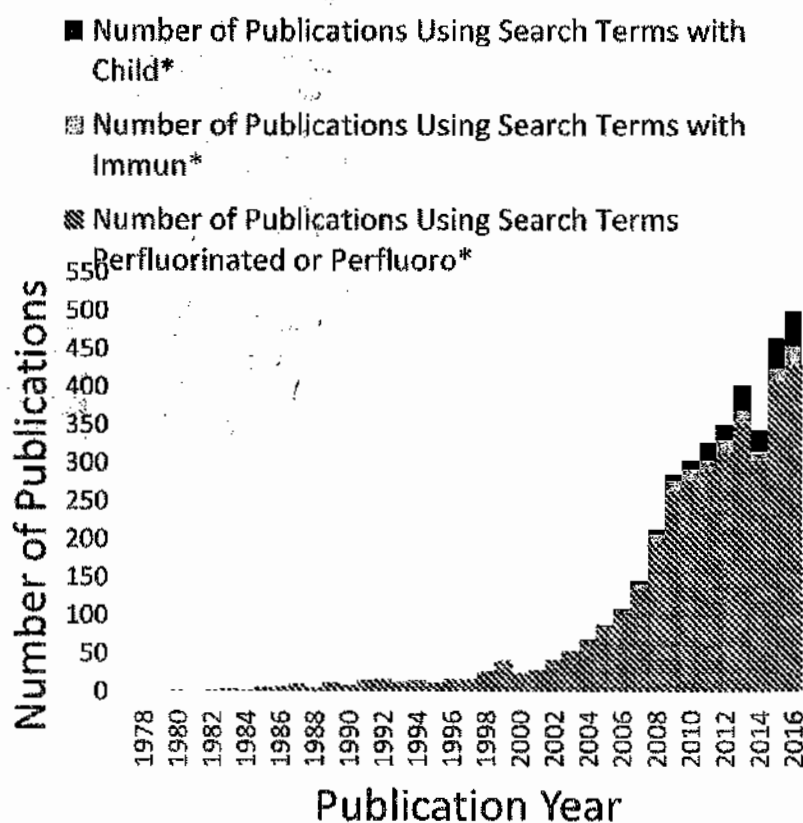


Figure 1. Number of publications on PFASs over time, according to the Web of Science database (between 1978 and 2017), using the search terms "perfluorinated or perfluoro"\* and restricting to environmental sciences, toxicology, or public, environmental, and occupational health categories. This search was further refined further using the search terms "immun\*" and "child\*".

Accordingly, the intensive focus on PFASs in scientific publications happened during the most recent 10 years, thus slowly emerging decades after the first discoveries of PFAS toxicity. Also, the reports from the court-mandated C8 studies, described below, are also very recent and mainly relied on cross-sectional study designs, although fortunately on large population groups in most cases.

The evidence at hand is therefore fairly recent and unlikely to represent the full toxicological perspective, such as those that may occur at a delay, and some adverse effects and vulnerable subpopulations may not yet have been identified. The occurrence of adverse effects at chronic exposures to low PFAS levels still needs to be explored in greater detail, especially regarding the long-term effects of developmental exposures. As has been seen on numerous occasions [40], the evidence available today may therefore underestimate the true extent of the PFAS toxicity.

## **B. Recent reviews and assessments**

I mention here useful recent reviews and studies. In my discussion in the next section of particular endpoints, additional reviews and studies are referred to.

### **1. C8 Science Panel**

General population studies addressing PFASs mainly have been cross-sectional, but important research data have emerged from the Mid-Ohio River Valley population, where PFAS contamination of drinking water occurred. The final conclusions of the C8 Science Panel, as submitted to the Court, refer to the probable links of the PFAS contamination and plausible adverse effects regarding cancer and several other important health conditions. The C8 Panel carried out several large-scale studies, although most of them focused on PFOA. The Panel concluded that PFOA exposure was probably linked to six important health conditions, including two types of cancer [8].

In somewhat greater detail, the West Virginia Circuit Court in 2005 approved a Class Action Settlement Agreement in a lawsuit about releases of PFOA from DuPont's production facility in Wood County, West Virginia. The Settlement created a Science Panel of three epidemiologists that was to conduct research in the community to evaluate probable links between PFOA exposure and human disease.

In addition, a C8 Health Project was established to collect data from Class Members through questionnaires and blood testing. This community health study includes approximately 70,000 Ohio and West Virginia residents with at least one year of exposure to drinking water contaminated with PFOA from about 50 ng/L to over 3000 ng/L. Data on serum PFOA concentrations provide information on the relationships between external dose from drinking water and the internal dose, i.e., the serum concentration, and a variety of biological changes. The median serum-PFOA concentration for all participants was 28 ng/mL, and the median in the highest decile (the subjects with the highest 10% of exposures) was 482 ng/mL.

These data, and the conclusions released by the Science Panel constitute an important basis for the present report. Based on the results from these studies and an evaluation of the literature, the Science Panel delivered reports on 'probable links,' as summarized in the final report from 2012. The C8 Panel determined that exposure to PFOA had Probable Links to adverse effects on the following human health conditions (Table 3).

*Table 3. Adverse human health conditions, where 'probable links' to PFOA exposure was identified by the C8 Panel [49].*

- Ulcerative Colitis
- Pregnancy-Induced Hypertension/Preeclampsia
- Thyroid Disease
- High Cholesterol
- Kidney Cancer
- Testicular Cancer

Although the reports to the Court were not peer-reviewed at the time and only provide a brief summary of the new study results, most of the evidence has since then appeared in peer-reviewed scientific journals, which will be referred to below. As seen in Figure 1, the most recent five years have seen about as many publications on PFAS toxicology as in the years up to the completion of the C8 report.

## **2. Other major assessments**

The PFASs have been the focus of a variety of evaluations carried out by regulatory agencies, such as the ATSDR and the U.S. Environmental Protection Agency (EPA). In addition, reviews on particular aspects have been generated by the National Toxicology Program (NTP) on immunotoxicity and by the World Health Organization's International Agency for Research on Cancer (IARC) on cancer risks. An updated Toxicology Profile for the PFASs has just been released by ATSDR [50]. In addition, the European Food Safety Authority has carried out a thorough and independent review of PFOA and PFOS [51]. These sources refer to a wider range of studies than the present report, where the focus is on human health regarding exposures occurring in southern New Hampshire.

In the absence of human experiments on PFAS toxicity, agencies often choose to rely on experimental studies in laboratory animals to generate risk assessments and to reach conclusions on exposure guidelines for single PFASs. While this has been a long-term tradition for these purposes, the present report evaluates the weight of the epidemiological evidence on adverse effects of PFAS exposures in the light of supporting toxicity evidence to determine whether these particular PFASs pose a substantial present and potential hazard to the persons in the exposed communities.

## **VII. ADVERSE HEALTH EFFECTS AT INDIVIDUAL ENDPOINTS**

As stated above, it is my opinion that PFASs pose a substantial present and potential hazard to at least human immune system functions, reproductive functions including adverse effects to the next generation, endocrine functions, thyroid functions, liver functions, cardiovascular functions, and by causing or increasing the risk of cancer. Below, I discuss the different human health hazards one by one. The first part is on immune system dysfunctions, as much evidence is now available and because these effects have not been dealt with in detail in risk assessments by regulatory agencies. To some degree, this is true also for reproductive toxicity and endocrine disruption, while other organ systems and cancer have been dealt with in substantial detail elsewhere, so that my coverage can be briefer.

In each of the following subsections, I discuss the epidemiological evidence that I rely on, summarize the supporting toxicological evidence, and lastly discuss possible



mechanisms, and additional studies or potential criticisms relating to the endpoint in question. I have made a reasonably comprehensive review of the epidemiological evidence, and have employed a weight of the evidence approach, as is commonly accepted in the scientific community in reviewing studies on a particular topic. Thus, I have cited the most relevant studies and have not aimed at including references to studies of less validity or less strength.

#### **A. Immunotoxicity and autoimmunity**

It is my opinion, based on the weight of the epidemiological evidence, and supporting toxicity evidence, that PFASs pose a substantial present and potential hazard to human immune system functions.

The immune system is crucial in fighting communicable diseases. It is also crucial in detecting and eliminating cancer cells. In addition, the immune system is involved in allergic disease and in autoimmunity. As the adaptive immune system is programmed during early development, immunotoxicity assessment is particularly relevant in subjects with PFAS exposures during early life [52]. As discussed above, PFASs are excreted in human milk, and breastfed children may thus be particularly at risk.

The immune system is a sensitive target for PFAS toxicity, perhaps the most sensitive, as illustrated by studies of deficient antibody responses to routine vaccinations in children exposed to PFASs. This approach was recommended by an international symposium in 1999 [53] and has been used to characterize immunotoxic effects of, e.g., polychlorinated biphenyls (PCBs) and dioxins [54-57]. Children who are highly exposed to immunotoxicants may be unable to generate enough antibodies to provide protection against the infectious diseases against which they are vaccinated. Responses to vaccinations in terms of concentrations of specific antibodies can therefore be used to assess immune dysfunctions.

The National Toxicology Program (NTP) concluded in 2016 that PFOA and PFOS are likely, or “presumed to be,” human immunotoxicants [5]. NTP uses the term “presumed” to denote the level of evidence just below “known,” and stronger than “suspected.” In addition, autoimmunity, including ulcerous colitis, is a documented adverse effect [58]. Taken as a whole, PFAS exposure at levels similar to or below those reported from the Merrimack area are associated with a range of immunotoxic effects.

As I discuss further below, prospective studies of birth cohorts have shown dramatic negative effects of PFASs regarding children’s response to routine immunizations, thus demonstrating that these substances can adversely impact the development of the adaptive immune system in early childhood. A reduced or flat antibody concentration response to vaccinations has been observed even in adults of the general population at elevated serum-PFAS levels. Such effects are linked to an increased occurrence of infectious diseases, and the immune dysfunction may well have even more severe implications.

#### **1. Epidemiological evidence**

My review of available epidemiological studies demonstrates a strong link between PFAS exposure and adverse effects on human immune system functions.

a. I was the principal investigator of a study that found significant adverse impacts of PFAS exposure on indicators of vaccination efficacy in children. The first study, which was based on 656 births in the Faroe Islands followed 587 of the children through to age 7 years and found that a doubling in exposure to PFOS and PFOA was associated with an overall decrease by about 50% in the antibody concentration [59, 60]. At the same time, a substantial number of children at age 7 had such a low antibody concentration that they had no long-term protection against the targeted diseases despite a total of four vaccinations.

The antibody response to childhood immunizations is of clinical relevance and reflects major immune system functions, and in addition is a feasible parameter to use in population studies [61]. Thus, study subjects have all received the same doses of antigen (in the form of the vaccines) at the same ages, and examinations can then be scheduled at similar ages, i.e., at similar intervals after the most recent vaccination [53]. Our particular study focused on the fishing community of the Faroe Islands, where residents with frequent intake of marine food have increased exposures to marine contaminants, such as the PFASs [62]. A major advantage of these studies is that the population is fairly homogeneous and that participation rates at follow-up remain high.

We have followed a Faroese birth cohort of 656 singleton births through to adolescence [63]. Among PFASs in maternal pregnancy serum, PFOS showed the strongest negative correlations with antibody concentrations in their children at age 5 years (after three vaccinations within the first year after birth), where a doubling in exposure was associated with a difference of -41% ( $p = 0.0003$ ) in the diphtheria antibody concentration. PFASs in the child's serum at age 5 likewise showed clear, negative associations with antibody levels, especially at age 7 (two years after a booster vaccination at age 5 years). For doubled concentrations at age 5, PFOS and PFOA showed odds ratios (ORs) between 2.4 and 4.2 for falling below a clinically protective antibody level of 0.1 IU/mL for tetanus and diphtheria at age 7. We concluded that developmental exposure to PFASs is associated with humoral immune system deficits in humans [60]. It is worth noting that the PFOS and PFOA levels in maternal pregnancy serum and the child's serum at age 5 that were measured in this study showed concentrations similar to, or lower than, those documented in prior studies in the U.S. [64].

Of particular concern is the finding that several children at age 7 years (two years after the age-5 diphtheria and tetanus vaccination booster) had antibody levels against diphtheria and/or tetanus below the clinically protective level of 0.1 IU/mL [60, 65]. This means that the children had no long-term protection against the diseases – despite a total of four vaccinations. Adjustment for elevated PCB exposure did not materially affect the calculations, as would be expected due to the poor correlation between the two [60].

b. The findings of our above study are consistent with a smaller study carried out in Norway on a subgroup from the national birth cohort. In 50 3-year-old children, inverse correlations were found between the mother's PFAS exposure during early pregnancy and decreased antibody levels in their children against four different childhood vaccinations, with rubella showing a statistically significant decrease at higher exposures to PFHxS, PFOA, PFOS, and perfluorononanoic acid (PFNA) [66]. This study also found that increased concentrations of PFOA, PFNA, and PFHxS were linked to statistically significant increases in the incidence of their children suffering from common cold and from gastroenteritis. Of importance, in these

children, elevated PFAS exposures were linked to both lower antibody concentrations and more frequent infections.

c. In a more recent Faroese birth cohort, serum-PFAS concentration profiles during infancy were estimated based on the duration of breastfeeding, and the calculations were validated by comparison with measured serum-PFAS concentrations at age 18 months. At the lower PFAS exposures, inverse associations with age-5 serum concentrations of antibodies against tetanus and diphtheria vaccines were similar to those seen in the previous cohort. Concentrations estimated for ages 3 and 6 months showed strong inverse associations with antibody concentrations at age 5 years, i.e., more than four years later, particularly for tetanus. These associations were stronger than those seen for PFAS concentrations at ages 18 months and 5 years and therefore support the notion that the developing adaptive immune system is particularly vulnerable to immunotoxic exposures during infancy. This finding also means that studies relying on serum concentrations that do not reflect ages at peak vulnerability will likely underestimate the true effects.

d. U.S. colleagues relied on the NHANES to extract data on a total of 1,831 adolescents aged 12-19 years between 1999 and 2006 [67]. In cross-sectional comparisons, a doubling of the concomitant serum-PFOS concentration showed a 13% decrease in rubella and 6% in mumps antibody concentrations, while measles did not show a clear association. In the authors' wording, the findings suggest a less robust response to vaccination or greater waning of vaccine-derived immunity over time.

e. In addition to routine childhood immunizations, many people receive immunizations for the flu, often on an annual basis and for a specific flu variant, such as the Avian flu or the Swine flu. PFAS exposure has been shown to be linked to decreased flu vaccine effectiveness. Thus, a study carried out in connection with the C8 studies encompassed 411 adults, whose serum samples were analyzed before and about three weeks after flu (A/H3N2) vaccination [68]. Thus, the elevated serum-PFOA concentrations were associated with a weakened vaccine antibody response also in adults.

f. PFAS exposure has also been shown to be linked to decreased effectiveness of boosters of vaccines first received in childhood. In our study of 12 healthy adult volunteers, increased PFAS exposure was associated with flatter changes in the serum concentrations of tetanus and diphtheria antibodies. Following the booster vaccination, antibody responses widely differed during the first 10 days, with two subjects appearing not to respond at all, and the steepness of the antibody concentration increase was inversely associated with the concomitant serum PFAS concentrations [69].

g. Other studies have also linked PFAS exposure to adverse impacts on the body's ability to fight off various common diseases including colds, fevers and gastroenteritis. Thus, a study of 359 Danish children from the Odense Child Cohort found that increased maternal serum concentrations of PFOA and PFOS at the end of the first trimester was significantly associated with a higher frequency of fever and symptoms in their children. The study followed the cohort of 359 children at ages 1-3 years by monitoring the frequency of fever and associated symptoms every 2 weeks for a year (via text messages). The number of days with fever  $>38.5^{\circ}\text{C}$  ( $>101.3^{\circ}\text{F}$ ), and also in combination with nasal discharge or cough, was



significantly increased in association with increases in the maternal serum concentrations of PFOA and PFOS [70]. These findings are in accordance with the much smaller Norwegian study already mentioned [66].

h. As part of the studies of the Danish National Birth Cohort, maternal early pregnancy serum from randomly selected 1400 women and their offspring were analyzed by 3M for PFOS and PFOA [71]. Hospitalizations for infection of the offspring were identified by the linkage to the National Hospital Discharge Register, through to age 11 years. Diagnoses, such as airway infection, appendicitis, middle ear infection were merged, and no clear pattern was observed when results were stratified by child's age at infection. In addition to relying only on exposures during early gestation, a recent study raised doubt about the validity of the chemical analyses [72].

i. Most recently, a study of a large Japanese birth cohort recorded physicians' diagnosis of common infectious diseases – including otitis media, pneumonia, respiratory syncytial virus infection, and varicella – up to 4 years and reported higher incidence rates at elevated prenatal exposures to PFOS and PFHxS [73]. Like the Odense Birth Cohort study, it focused on the most relevant preschool ages, did not exclude cases that were not hospitalized, and relied on valid prenatal exposure measurements.

j. In adults, a study conducted by the C8 Science Panel based on the health examinations concluded in an interim report that increased PFOA exposure was associated with lower serum concentrations of total IgA, IgE (in females only), though not IgG [49]. Thus, using total and non-specific immunoglobulin concentrations, this study is at least partially supportive of adverse immune effects from PFOA exposure. The result concerning IgG concentrations should be interpreted with some caution because the C8 study examined total IgG immunoglobulins (whereas our study, A.1.a. above, focused on concentrations of specific IgG antibodies directed against vaccine antigens).

k. PFASs also have been found to be linked to certain forms of autoimmune disease, in which the body's immune system attacks its own tissues. This link is demonstrated by two studies conducted by C8 Science Panel epidemiologists, the first being an occupational study of 3,713 workers, whose PFOA exposures were evaluated. Using a ten-year lag, the occurrence of ulcerous colitis and, without a lag, rheumatoid arthritis showed significant associations by greater disease frequencies at elevated PFOA exposures [74]. These results were also reflected by the C8 Panel conclusions, where the C8 Panel stressed a probable link between PFOA exposures and ulcerative colitis.

l. The second study concerned the general population in the Mid-Ohio River Valley, where 151 cases of ulcerous colitis were identified in connection with the medical examinations. With a p value less than 0.0001, higher serum-PFOA concentrations predicted a greater risk of developing the disease [58]. In a recent cross-sectional U.S. study, young ulcerous colitis patients had higher serum concentrations of PFOA than controls and those with Crohn's disease [75].

m. Similarly, in the C8 Panel's study of the >50,000 residents of the Mid-Ohio River Valley, certain immune function parameters were measured. Specifically,

antinuclear antibody (ANA) concentrations in serum were used as a screening parameter for autoimmune disease, such as rheumatoid arthritis. There was an increasing trend with serum-PFOA concentrations. In contrast, the inflammation marker, C-reactive protein, fell with increasing PFOA. In each case the pattern was repeated in the same way for males and females [49].

n. Allergies may also be related to PFAS immunotoxicity, as reported by studies linking PFAS exposure to increased development of allergies in children [76]. First, a study of 244 Taiwanese children found that increased cord-blood concentrations of PFOA and PFOS correlated with elevated cord-blood IgE in boys [77]. The immunoglobulin IgE is usually increased in allergic subjects, but the predictive value of elevated cord-blood IgA in regard to subsequent development of allergy or atopy is limited [78]. Further, a study of 343 Japanese births reported an inverse association between PFAS concentrations and cord serum IgE concentrations [79], thus revealing opposite tendencies in the two studies.

o. Using more reliable clinical data, a study of the Faroese birth cohort born in 1997-2000 included data on allergy and asthma at ages 5 to 13 years [80]. Twenty-two of the 559 children had not been vaccinated against MMR, and among those, higher serum concentrations of the five PFASs at age 5 years (but not prenatally) were associated with increased odds of asthma at ages 5 and 13. However, the associations were reversed among MMR-vaccinated children, suggesting that MMR vaccination might be an effect modifier.

p. To further explore the mechanisms, a study was carried out in Norway to characterize gene expression in cord blood and its association with PFAS concentrations, antibody concentrations, and infectious disease incidence. Several immunomodulatory genes, especially the C17 gene, were linked to all three parameters, and these findings therefore supported a PFAS-linked genetic mechanism underlying both the lowered antibody response and the increased susceptibility to infectious disease [81].

q. Regarding occupational exposures, a study of 3M workers found clear associations between increased PFAS exposure and decreased leukocyte counts, a sign of adverse impact on the human immune system. These immunotoxicity appeared to agree with experimental data, especially those in monkeys. The results were reported by Frank Gilliland, MD, as an outcome of his thesis work at 3M about 1990. In his thesis, Dr. Gilliland concluded: "Total serum fluorine was negatively associated with all peripheral leukocyte counts except PMNs [PolyMorphonuclear Neutrophils] and MONOs [Monocytes], which were positively associated" [82]. Of note, the basophil count at elevated exposures was lower in the adults, while a recent study showed that they were higher in highly-exposed children [83].

The files produced by 3M in a recent trial contain a manuscript entitled "Peripheral Blood Lymphocyte Count in Men Occupationally Exposed to Perfluorooctanoic Acid" [84]. As with 3M's monkey study from 1978 that revealed immunotoxic effects [85], the leukocyte count results were not published, and the recommended immunotoxicology assessment was apparently not conducted.

## 2. Toxicological evidence

Epidemiological evidence showing an association between PFAS exposure and adverse effects on human immune system functions finds additional support in various toxicological studies of immune system functions. Experimental studies have provided substantial documentation of immunotoxic effects [5, 22, 86]. Immunotoxicity of PFASs has been demonstrated in a wide variety of species and models, as well as *in vitro* in relation to human white blood cells.

a. An early 90-day study was carried out in monkeys in 1978 and demonstrated toxicity effects on the gastrointestinal tract and the reticuloendothelial system (i.e. immune system) [87]. The doses of FC-143 (PFOA) given were 0, 3, 10, 30 and 100 mg/kg/day. All monkeys at the 100 dosage and three out of four at 30 mg/kg/day died; compound-related microscopic lesions were seen in adrenals, bone marrow (hypocellularity), spleen and lymph nodes (atrophy of lymphoid follicles in both), as also highlighted by Dr. Gilliland in his thesis from 1992, where he added: "No follow-up studies of these observations have been reported" [82]. Certain of the findings were summarized in a published review article [88] from 1980.

b. Renewed interest in experimental immunotoxicity of the PFASs began after year 2000, at first focusing on reductions in lymphoid organ weights, lymphoid cell numbers, and *de novo* antibody synthesis [86]. These studies clearly document adverse immune system effects and support the notion of PFAS immunotoxicity [5]. Using a standard immunological challenge of injecting sheep erythrocytes into PFOS-exposed mice, adverse effects were seen at serum concentrations similar to levels observed in occupational exposure, and serum levels were similar to the highest serum concentrations in people with background PFOS exposure [89], while PFOA immunotoxicity occurred at higher serum concentrations [90]. Other studies have shown PFAS effects on immune measures, such as cytokine expression and signaling related to inflammation and T helper cell responses [86].

c. In regard to response to infections, a study in mice demonstrated that PFOS exposure at levels associated with deficient immune functions showed elevated PFOS concentrations in blood, but also in thymus, spleen, and lungs as well as reduced survival after influenza A infection [91].

d. Further, studies of mice injected with sheep erythrocytes, as a standard test of immune system function, demonstrate deficient immune system responses from PFASs, in parallel to the human studies of vaccine responses. Several rodent studies have applied this experimental model to assess any effects on the antibody response. In a study of PFOS, the lowest observed effect level (LOEL) for males was 0.05 mg/kg total dose and 10-fold higher in females (which excrete PFOS more rapidly). Measured serum-PFOS concentrations at these dose levels were  $91.5 \pm 22.2$  ng/g and  $666 \pm 108$  ng/g (mean  $\pm$  SD), respectively [89]. The concentrations would be almost the same if measure in ng/mL, the unit used for human blood samples. Thus, the serum levels measured in the male mice at the lowest dose applied were similar to the highest concentrations measured in residents in the exposed area. Yet, these levels were associated with significant adverse effects. As no lower doses were applied, the data do not allow consideration to which extent lower concentrations may also be associated with adverse effects in this animal model.



e. Available information on immune system effects from developmental exposure also supports a link between PFAS exposure and adverse immune system effects. In one study of gestational exposure, male pups were again more sensitive than females to the effects of PFOS and confirmed that the developing immune system is vulnerable to PFAS exposures and that functional deficits in innate and humoral immunity are detectable at adult age [92].

f. Human white blood cells provide a meaningful *in vitro* model to assess immune system effects, and studies have been carried out to determine the *in vitro* effects of PFAS exposure, generally with a focus on cytokine secretion [86]. White blood cells from human volunteers showed effects at PFOS concentrations of 0.1  $\mu\text{g/mL}$  (or 100  $\text{ng/mL}$ ) [93], i.e., similar not only to concentrations seen both in affected male mice in toxicology studies, [89] but also to levels in residents exposed to contaminated drinking water [94].

### 3. Perspective

a. In connection with the need to identify a health advisory for contamination of drinking water with PFOS and PFOA, the EPA surveyed the PFAS literature and summarized its results in PFOA and PFOS risk assessment reports [95, 96]. The EPA draft risk assessment documents finds that PFASs exhibit immunotoxicity in experimental models and that the epidemiological evidence is additive, although mixed exposures complicate the attribution of effects to specific PFASs. Similar conclusion was reached in ATSDR's updated ToxProfile that was recently released [50].

b. In 2016, the National Toxicology Program (NTP) reviewed the immunotoxicity information on PFOS and PFOA and concluded that both are "presumed" to constitute immune hazards to humans [5]. Both PFASs suppress the antibody response in animal studies, with a "moderate" level of evidence from studies in humans. The evidence indicating that PFOA and PFOS affects multiple aspects of the immune system supports the overall conclusion that both can be presumed to alter immune function in humans, even though the mechanisms are not clearly understood. The reason for considering the human evidence "moderate" is that all studies are observational (not experimental) and refer to mixed exposures, where the individual and joint roles of PFOS and PFOA are difficult to extract. The term "presumed" is the strongest below "known" in the NTP vernacular.

c. The European Food Safety Authority (EFSA) likewise in their initial opinion in 2008 [1], to which I contributed, relied on experimental toxicity studies at a time where little information on immunotoxicity and few human studies was available. An updated version considered immunotoxicity as a critical effect when calculating tolerable intake levels [51].

d. According to the recent evaluations, the epidemiological evidence demonstrating an association between (mixed) PFAS exposure and adverse effects on the human immune system is strong and is supported by ample toxicological evidence on effects of PFOS and PFOA, while other PFASs have been addressed only in few studies.

c. Species differences must be taken into account. In agreement with the very detailed NTP review [5], we find that the species differences in PFAS elimination or in immune system vulnerability do not question our conclusions that elevated PFAS exposure presents a human immunotoxicity risk [65, 97]. Thus, in agreement with the NTP review [5], I conclude that the human evidence strongly supports the existence of PFAS-dependent immunotoxicity at background exposure levels. However, detailed statistical calculations show that PFOA-related effects on specific antibody concentrations appear to be independent of other PFAS exposures [59]. Experimental studies document that all PFASs tested have immunotoxic effects.

In a medical screening or monitoring program, immune system competency can be assessed by measuring specific antibodies in serum to reveal the response to vaccinations. Insufficient antibody protection can be normalized by a repeat vaccine booster, although that does not improve the protection against other antigens. Screening for immune system deficits can be carried out in newborns [98]. Among other outcomes, such as allergy, the IgE concentration (total or antigen-specific) in serum is routinely used for diagnostic purposes and to identify the correct therapy [99]. Autoimmune diseases may be identified at an early stage by using diagnostic tests, such as inflammatory markers, autoantibodies, and flow cytometry [100]. Diagnosis and monitoring of ulcerative colitis will require endoscopy [101]. Thus, medical monitoring for the defined population is reasonably necessary and will result in benefits due to early diagnosis, which may be followed by medical intervention.

## **B. Reproductive toxicity**

It is my opinion, based on the weight of the epidemiological evidence, and supporting toxicity evidence, that PFASs pose a substantial present and potential hazard to human reproductive system functions, with risks of adverse effects to the next generation.

The focus in this part is primarily on obstetrical appearances of PFAS toxicity, including pregnancy hypertension and preeclampsia, which conditions were determined by the C8 Panel to have a probable link to C8 exposure [102]. I also address pregnancy outcomes, including miscarriage, birth weight, decreased sperm quality and fecundity. These outcomes may or may not be mediated by endocrine disruption mechanisms, but are dealt with here, as they refer to pregnancy and pregnancy outcomes. Taken as a whole, PFAS exposure at levels similar to or below those reported from Merrimack, Litchfield, Bedford, and Manchester, NH, are associated with a range of reproductive toxicity effects.

Other outcomes considered more clearly to reflect endocrine disruption are considered in the following part and include changes in serum concentrations of sex hormones, delayed development including delayed puberty, inhibited lactation and shorter breastfeeding durations, early menopause, and changes in reproductive hormone concentrations in serum.

Regarding developmental toxicity affecting the next generation functionally and in regard to subsequent disease risks, i.e., so-called Developmental Origins of Health and Disease (DOHaD), these aspects are properly discussed in regard to the relevant organ systems (such as the immune system) [103].



## 1. Epidemiological evidence

Data from my review of available epidemiological studies demonstrate a strong link between PFAS exposure and adverse effects on human reproductive system functions. One early and important aspect of reproduction is fecundity, i.e., the capability of achieving pregnancy, and other endpoints are then discussed in proper sequence.

### *Fecundity*

As a parameter commonly used in epidemiological studies, time-to-pregnancy (TTP) is a measure of couple fecundity. However, both female and male risk factors must be taken into regard, and studies in this vary in regard to the validity of data collected [104].

a. TTP was obtained in a Danish study of 1240 women, who had achieved pregnancy, thus excluding infertility. The subjects with serum-PFOS in the highest quartile had a 26% reduced chance of becoming pregnant within the same cycle month as compared to women in the lowest quartile [105].

b. A recent Canadian study of over 1,700 women demonstrated that increasing concentrations of PFASs in serum were associated with both reduced fecundability, as measured by increased time to pregnancy, and infertility [106]. Specifically, an increase in one standard deviation in the serum-PFOA concentration was associated with a 31% increase in the odds of infertility and an 11% reduction in fecundability.

c. When my colleagues and I examined PFAS exposures in a prospective study of 222 Danish first-time pregnancy-planners without previous reproductive experience (129 attained pregnancy within 6 months), we calculated the fecundability ratio (FR) using discrete-time survival models [107]. The results showed little, if any, difference associated with serum-PFAS concentrations, although the study may have been too small to reveal an effect.

d. None of these studies involved cohorts with significantly elevated exposures, such as workers in PFAS manufacturing plants or residents of contaminated areas. Still, the available evidence suggests that background exposures to PFASs affect TTP to a limited extent, as suggested by a Norwegian study [108].

e. None of the recent epidemiological studies used sophisticated technologies that have become available in more recent years. In fact, the waiting-time-to-pregnancy (or time required to conceive) measure relies on a simple questionnaire that has been in use since the 1980s [109]. It is therefore unfortunate that no studies have been located from major PFAS producers regarding fecundity of exposed employees.

### *Puberty development, irregular cycles, and menopause*

f. A cross-sectional study of PFOA and PFOS regarding indicators of sexual maturation was carried out in the Mid-Ohio River Valley. Participants were 3076 boys and 2931 girls aged up to 18 years. They were classified as having reached puberty based on either hormone levels (total >50 ng/dL and free >5 pg/mL testosterone in boys, and estradiol >20

pg/mL in girls) or onset of menarche. For boys, there was a relationship of reduced odds of reaching puberty with increasing PFOS (delay of 190 days between the highest and lowest quartile). For girls, higher concentrations of PFOA or PFOS were associated with reduced odds of postmenarche (130 and 138 days of delay, respectively) [110]. This study may well have underestimated the effects, as it was based on current serum-PFAS values only, although cross-sectional studies on menarche may be biased by PFAS elimination in blood.

g. A more recent study focused on 2,292 children aged 6-9 years who had been examined in 2005-2006 in regard to their exposure to PFOA in the Upper Ohio River Valley [111]. In boys, a higher serum-PFOA concentration was linked to lower testosterone, and PFOS with lower estradiol, testosterone and insulin-like growth factor (IGF-1); in girls, a higher PFOS was associated with decreases in both testosterone and IGF-1.

h. In regard to puberty development, a British birth cohort at background levels found that PFOA concentrations in stored maternal pregnancy serum were slightly higher for 218 daughters who had reached menarche before age 11.5 years compared to a similar number of controls with later onset [112]. The results in this study, however, were not statistically significant.

i. In a Danish study of prenatal exposures judged from maternal serum analysis, 367 daughters' menarche was significantly delayed at higher prenatal PFOA exposures [113]. An important strength is that this study focused on prenatal exposure, although with no adjustment for postnatal exposure from breastfeeding and other sources.

j. In 950 pre-pregnant women, higher serum concentrations of PFOA, PFOS, PFNA, and PFHxS showed increased odds of self-reported history of irregular menstrual cycle and long menstrual cycle [114], i.e., disruptions that may be related to an increased risk of subfecundity [115].

k. The C8 Health Project examined 25,957 women aged 18-65 years regarding serum estradiol concentrations and onset of menopause [116]. The odds of having experienced menopause increased significantly at higher exposures to PFOA and PFOS within the subgroup of middle-aged women. Again, PFAS elimination in blood may have affected the results.

### *Semen quality*

l. A joint analysis of data from three countries suggested a substantially lower proportion of morphologically normal sperm cells at increased serum concentrations of PFOS and PFHxS, while a small increase (opposite direction) appeared to be related to PFOA exposure [117]. Effects on reproductive hormones were also measured and are dealt with separately below.

m. In a study of 256 men examined at a fertility clinic, no association between the current serum concentrations of PFOA and PFOS and semen parameters was found [118]. However, the concomitant concentrations may not reflect the exposures at the most vulnerable developmental stage or stages where negative effects on semen formation may have happened.

n. In 105 young Danish men from the general population, those with elevated combined serum concentrations of PFOS and PFOA had a median sperm count that was 2.5-fold lower than the median for men with low PFOS-PFOA exposures [119]. Other associations were not statistically significant, but suggested altered pituitary-gonadal hormones at higher PFOS-PFOA exposures.

o. From a pregnancy cohort established in Denmark in 1988-1989, about one-third of the men (169) was recruited at age 20 years to obtain a semen sample and a blood sample [120]. PFOA and PFOS were measured in banked maternal pregnancy serum samples. In utero PFOA exposure was associated with lower sperm concentrations and sperm counts, while PFOS did not appear to be associated with any of these outcomes.

p. According to a recent review, a total of sixteen studies have explored the association between PFAS exposure in men and semen parameters, reproductive hormone levels, or TTP. Despite somewhat inconsistent results, subtle associations between higher PFOS and lower testosterone or abnormal semen morphology have been found in some of the studies and cannot be ignored. Also, eleven studies assessed the association between PFAS exposure in women and Time To Pregnancy (TTP), as a measure of fecundity, or reproductive hormones levels. Four of eight studies found prolonged TTP with higher PFOS or PFOA, while one of the four found an association when restricting to nulliparous women [121]. Again, a concern is the time of blood collection for exposure assessment, as adverse effects could be due to, say, pre-puberty exposures.

#### *Miscarriage*

q. Available evidence suggests that miscarriage and stillbirth are associated with PFAS exposure, although the evidence is not yet strong. This is perhaps not surprising as miscarriage and stillbirth, like mortality, are extreme outcomes. A recent study that included more than 300 miscarriages found a tendency towards a positive association with PFOS exposure in the Mid-Ohio River Valley, but no association between PFOA exposure and miscarriage [122].

r. A subsequent Danish case-control study of 51 miscarriages utilized serum collected in first trimester and found a significantly increased risk associated with C9 and C10, and a tendency in the same direction for PFHxS, but no clear association for PFOA and PFOS [123]. This study likely had too low a statistical power to reveal minor adverse impacts.

#### *Pre-eclampsia and higher blood pressure during pregnancy*

s. The C8 Science Panel concluded that PFOA exposure is associated with reproductive toxicity, i.e., an increased risk of pre-eclampsia and higher blood pressure during pregnancy [102].

t. This conclusion rests on extensive studies in the contaminated Upper Ohio River Valley. Data were obtained on 1,845 pregnancies within the 5 years preceding the serum-PFOA analysis and on 5,262 pregnancies analyzed for PFOS. Preeclampsia was weakly associated with PFOA and PFOS [124].

u. However, a more recent study is less convincing. Relying on the serum-PFAS analyses from the health examinations in 2005 and 2006, birth records from singleton pregnancies were obtained to identify the 106 cases of pregnancy-induced hypertension. Serum PFOA and PFOS were both positively associated with the diagnosis [125].

v. Using data from the Norwegian Mother and Child Cohort Study, a study was conducted of 976 nulliparous pregnant women, of whom 466 had a validated diagnosis of preeclampsia. No strongly positive associations between PFAS levels and preeclampsia in this population with low background exposures [126]. Thus, the conclusion today is less clear than it was when the C8 Panel based its conclusions solely on the findings in the highly contaminated communities.

*Preterm birth and low birth weight*

w. The C8 Science Panel also evaluated the evidence on preterm birth, birth weight and fetal growth. Some studies available by then suggested small negative shifts at high PFOA exposures [127, 128], but the Panel considered them uncertain and therefore insufficient to conclude the presence of a probable link. EPA in its most recent evaluation considered decreased birth weight in rats one of the critical outcomes for PFOS [96]. By now, this outcome is also considered as an established hazard by EFSA [51].

x. Relating to the C8 studies, women who reported reproductive histories and who provided serum for the C8 study at the examinations were linked to data on preterm birth and birth weight. Elevated serum concentrations of PFOA and PFOS at the health examination in 2005-2006 were associated with a greater frequency of lower birth weight at term [125].

y. A study in Denmark demonstrated increased birth weight in girls at higher exposures to PFOS, PFOA, and PFHxS and reduced birth weight in boys, thereby suggesting sex-dimorphic effects [129]. In support of this notion, the same study also measured the anogenital distance in 511 children and observed decreases in girls, though not in boys, at elevated maternal PFAS exposures. In a recent study, birth weight in Norway was apparently not affected by background levels of PFAS exposures [130]. The most recent report from the Japanese Hokkaido cohort shows that low background exposures to PFOS and PFOA are associated with decreases in birth weight, and the study also highlights that hormones such as leptin and adiponectin may play a role [131].

z. A British study of the ALSPAC birth cohort collected serial data on weight and height up to age 20 months and showed that elevated maternal serum concentrations of PFOS, PFOA and PFHxS were associated with decreased birth weights in girls but that higher PFOS exposures were then associated with increased body weight at 20 months [132].

aa. A similar study from the Faroes revealed that a higher maternal pregnancy serum-PFOS concentration was associated with increased weight (and overweight) in the child at age 18 months, while PFOA rather showed a similar association with weight at 5 years of age [133]. These findings suggest that birth weight as an outcome at a particular point in time may need to be seen as part of an intrauterine-postnatal growth profile.



## 2. Toxicological evidence

A significant part of the early toxicological evidence concerning reproductive harm from PFASs comes from industry-supported studies. Extensive information is available in recent reviews [4, 6]. Although outcomes in experimental studies often do not overlap with those used in human studies, there is general agreement between these two sets of evidence.

## 3. Perspective

The C8 Science Panel considered a link to pre-eclampsia sufficiently justified [102], but support for this link remains limited. The Panel had less opportunity to examine other reproductive effects, which have been addressed in more recent studies. While the Panel did not conclude that there was a probable link between exposure to PFOA and birth defects [134], other reproductive adverse effects today seem more likely. Thus, at that time, a link to decreased birth weight was not reported by the Panel, but growing evidence supports that decreased fetal growth and time-dependent postnatal growth patterns are affected by PFAS exposure.

The C8 Panel was unable to examine the reproductive and related developmental deficiencies as broadly I have, given that the findings that could be made had to be based on the feasible methodologies available at the time. Recent evidence is highly suggestive of adverse effects on female reproduction, as indicated by increased occurrence of abnormal puberty development, irregular menstrual cycles and decreased fecundity. Male toxicity is also much better documented now, although in both cases, exposure misclassification needs to be carefully considered, as that would likely result in underestimated effects. In their recent reports, both ATSDR and EFSA considered decreased birth weight one of the clear adverse effects of PFOA exposure [50, 51].

Therefore, based on the weight of all the evidence, that PFASs pose a substantial present and potential hazard to human reproductive system functions.

Available medical monitoring is reasonably necessary for the exposed populations to determine whether a pregnancy is unlikely or at risk. For example, feasible methods exist to detect early stages of preeclampsia, where blood pressure monitoring is combined with Doppler examination of the uterine artery and serum analysis for relevant biomarkers [135].

## C. Endocrine disruption

Outcomes usually considered to reflect endocrine disruption will be dealt with in the present part, including changes in reproductive hormone concentrations in serum and inhibited lactation as indicated by shorter breastfeeding durations in exposed women.

It is my opinion, based on the weight of the epidemiological evidence, and supporting toxicity evidence, that PFAS exposure at levels similar to or below those reported from the exposed communities pose a substantial present and potential hazard to human endocrine functions.

Based on the available evidence, the thyroid gland is a target organ for PFAS toxicity, as supported by laboratory experimental data [136] and recognized by the C8 Panel [137]. The Panel report is quite comprehensive, and the coverage of thyroid dysfunction will therefore emphasize major and recent studies. Even subclinical hypothyroidism is a health concern, especially during pregnancy, as fetal brain development is highly vulnerable to deficiency in maternal thyroid hormone supplies [138, 139]. Moreover, as the thyroid gland is the target for a substantial number of environmental chemicals, PFASs are likely to contribute to joint effects in combination with exposures to other thyroid toxicants [140]. Thyroid dysfunction can be identified at early stages by blood tests that may trigger appropriate treatment, e.g., with thyroid hormone supplements.

Based on the weight of the epidemiological evidence and supporting toxicity evidence, I conclude that PFASs pose a substantial present and potential hazard to human health regarding increased risks of developing diabetes and metabolic disease or dysfunction. These outcomes include an increased risk of developing overweight or obesity. As these conditions are common and increasing in prevalence, even a small increase in diabetes risk and obesity would be of major public health impact [141, 142].

Although the C8 Science Panel concluded that the evidence available to them at the time was insufficient to conclude that PFOA is linked to the development of type 2 diabetes (T2D) [143], recent evidence strongly suggests that PFAS exposure has a potential of causing adverse metabolic effects, including the development of type 2 diabetes (T2D) and obesity, as part of current exposures to so-called obesogenic chemicals [144], especially in regard to developmental exposures [145].

Endocrine disruption effects are generally defined as adverse effects in an intact organism or its progeny that have an endocrine mode of action, i.e., that it alters the function(s) of the endocrine system. Due to the serious human health consequences, endocrine disruption has become a top priority in chemicals control efforts in the EU and elsewhere [146].

Based on the available evidence, PFASs are convincingly associated with endocrine disrupting effects that may have substantial impacts on the exposed populations. While endocrine disruption is often thought to be related to reproductive toxicity, a wide variety of hormones play a role for various physiological functions, and their disruption can cause a variety of dysfunctions and diseases. Hormones addressed by PFAS research include sex hormones, thyroxine, and insulin. Diagnostic procedures can be used for medical monitoring purposes to identify exposed subjects that may require hormone supplements or other intervention.

## 1. Epidemiological evidence

### *Serum-hormone concentrations*

a. Early evidence on endocrine disruption associated with PFAS exposure originates from a doctoral thesis project, where Frank Gilliland, MD, studied clinical pathology parameters in 111 male workers in 3M's Chemolite plant in Cottage Grove, MN [82]. There was a positive correlation between PFOA exposure measured as serum total organic

fluorine and estradiol (an adverse effect), and a negative correlation with free testosterone (also an adverse effect) with this association being stronger in older men. Dr. Gilliland therefore concluded that PFOA may affect male reproductive hormones [82]. This finding is in accordance with the evidence on reproductive toxicity in males as summarized in Section VII.B.1. However, this study was not reported on its own in a scientific journal, but was referenced in a subsequent article led by 3M authors [147].

b. This subsequent follow-up study further explored serum hormone abnormalities in exposed workers and likewise showed a positive correlation between PFOA exposure and serum-estradiol (an adverse effect) [147] in 111 and 80 production workers studied in 1993 and 1995. The 10% increase in mean estradiol levels observed among those employees with the highest serum-PFOA concentration was argued to be potentially confounded by body mass index (although the risk of obesity may be increased at higher PFAS exposures, see section VII.E). Even though two sets of data were available, and 68 participated in both (and some likely were also examined by Dr. Gilliland), the authors chose not to conduct comparisons over time, allegedly due to variability of the hormone analyses. The 3M authors concluded that the results provided reasonable assurance that, in this production setting, and contrary to the directionality of Dr. Gilliland's findings [82], the authors reported no significant hormonal changes associated with PFOA at the serum levels measured.

c. The C8 Health Project examined 25,957 women aged 18–65 years regarding serum estradiol concentrations [116]. There was a significant inverse association between PFOS and estradiol, though not between PFOA and estradiol, thereby suggesting that endocrine effects from PFAS exposure may differ between men and women. As mentioned in section B, there was also an increased odds of having experienced menopause at elevated exposures to PFOA and PFOS among study participants.

d. In a study of nearly 2,300 children living near a PFOA production facility in the Mid-Ohio River Valley, increased PFAS exposure correlated with lower levels of sex hormones. Especially in boys, increased PFOS concentrations were associated with lower testosterone, estradiol, and IGF-1 levels, and increased PFOA concentrations were correlated with lower testosterone levels. In girls, increased PFOS concentrations were associated with lower testosterone and IGF-1 levels [111]. Again, this study supports the notion that the PFASs are endocrine disruptors and that sex-related effects may differ by age.

e. A study of postpubertal women at age 15 years whose mothers were exposed to PFASs at background levels in the UK found that higher levels of maternal exposure to PFOS, PFOA, and PFHxS were correlated with higher testosterone concentrations. Findings from this study suggest that prenatal exposure to PFASs leads to adverse effects that may be lasting and may be expressed during or after puberty [148]. Again, differential effects may be observed in regard to different developmental stages.

f. A study of 540-person cohort in Taiwan found that increased serum concentrations of PFOA and PFOS were correlated with decreased levels of sex hormones in adolescents and young adults at ages 12–30 years [149]. PFOS was associated with a significant decrease in follicle-stimulating hormone (FSH) levels in young men aged 12–17, and in serum testosterone levels in young women of the same age. PFOA was associated with a significant

decrease in serum levels of sex-hormone binding globulin (SHBG) in the young women aged 12-17 years, and negative associations between PFAS exposures and the hormones measured were particularly strong in the young women

g. From the Danish pregnancy cohort established in 1988-1989, the 169 men at age 20 showed higher adjusted levels of luteinizing hormone (LH) and FSH associated with higher prenatal PFOA exposures [120]. PFOS did not appear to be associated with hormone concentrations.

h. In 105 young Danish men at background exposures, hormone profiles suggested poorer function of Leydig cells (which produce testosterone) at higher PFAS exposures. However, the associations in this small study were not statistically significant [119].

#### *Duration of breastfeeding*

i. A study of 1,400 Danish women reported that the duration of breastfeeding, as recorded by two telephone interviews, decreased at increasing serum-concentrations of PFOA and PFOS, although only in multiparous women [150]. In multiparous women, previous breastfeeding might confound the association, and this finding therefore did not provide strong support for a causal association.

j. A recent study in the U.S. [151], however, found that increased maternal serum-PFOA concentrations were correlated with a decreased duration of breastfeeding, and that this association was not confined to multiparous women and is independent of potential confounders, thereby supporting a hypothesis of endocrine disrupting effects. Although the recording of duration of exclusive breastfeeding may have been somewhat imprecise, the fact that the women were not aware of their own exposure levels excludes any important bias.

k. These findings are supported by a subsequent study of 1,130 new mothers in the Faroe Islands [152]. A doubling of maternal serum PFAS concentrations was associated with a reduction in duration of both total and exclusive breastfeeding, most pronounced for PFOS, where a doubling was associated with a reduction in total breastfeeding of about six weeks. Similar effects were seen for PFOA, though not for PFHxS. These associations were evident among both primiparous and multiparous women, and thus cannot be explained by confounding from previous breastfeeding. Similar results from a Danish mother-child have recently been reported at a scientific conference, again highly significant (Timmermann et al., submitted).

#### *Thyroid hormones and related diseases*

l. Dr. Gilliland analyzed in his doctoral thesis data from his cross-sectional study of 3M production plant workers regarding thyroid effects associated with organofluorine concentrations in serum. A positive correlation was seen between organic fluorine and the thyroid stimulating hormone (TSH) in serum [82]. Elevated TSH is often seen when thyroid functions are deficient. In a later paper on thyroid function measurements in about 500 workers from 3M production plants in Alabama and Belgium, 3M scientists argued that



variable associations with thyroid hormones (and all other clinical pathology parameters measured in this study) were of limited, if any, clinical relevance [153].

m. A cross-sectional data set from the C8 Health Project on 52,296 adults with a year or more of exposure to contaminated drinking water showed that both PFOA and PFOS in serum were associated with significant elevations in serum thyroxine (T4) and a significant reduction in T3 uptake in all participants, thus showing disruption of thyroid functions [154].

n. A later study of 33,254 exposed community members and production workers applied calculated temporal trends in serum-PFOA concentrations [155]. The occurrence of 2,109 cases of functional thyroid disease, i.e., hyperthyroidism and hypothyroidism, was associated with PFOA exposure in women, while exposed men showed a tendency of hypothyroidism at elevated exposures.

o. In 10,725 children and adolescents aged 1-17 years examined within the C8 community study, a tendency was seen toward an increased risk of an increased serum concentration of total T4 concentrations was found for PFOS, but was not significant for PFOA. Further, an increased odds ratio for hypothyroidism (observed in 39 cases) was found at the highest quartile of PFOA exposure [156].

p. In a study based on NHANES data on 3,974 adults, serum concentrations of PFOA and PFOS were compared between subjects with and without self-reported thyroid disease [157]. Women with a serum-PFOA concentration in the highest quartile were more than twice as likely to report current treated thyroid disease compared to women with low PFOA levels. The same tendency was seen in men, although it was of borderline significance. For PFOS, the trend was significant in men, but not in women.

q. In a similar NHANES-base study of 1,181 adults, higher serum concentrations of PFOA were associated with increased serum concentrations of triiodothyronine (T3), while PFHxS was linked to increases in both T3 and thyroxine (T4), but to lower T4 in men [158]. These findings suggest sex-dimorphic effects of PFASs on thyroid functions.

#### ***Diabetes***

r. The C8 Science Panel did not find any indication that PFOA exposure was related to diabetes mortality [155] [143]. However, fasting serum insulin decreased at higher PFOA exposures [159], thus suggesting possible pathogenetic impact.

s. Additional support for background PFAS exposure being a risk factor for T2D comes from certain cross-sectional studies such as the NHANES data [160, 161]. Given that the data are cross-sectional, they may likely underestimate the true diabetogenic impact of PFAS exposure.

t. In a study in Taiwan, PFOA in adults was positively correlated (adverse effect) with their beta cell function (possibly as a sign of compensation for insulin

resistance), and PFOS was positively correlated (adverse effect) with blood insulin, insulin resistance (homeostasis model assessment), and beta cell function [160].

u. Data on 499 prepubertal children [162] showed that current exposures to PFASs are linked to increased risk of overweight and deficient glucose homeostasis. In 811 children from the Danish national birth cohort, prenatal exposure to PFOA and PFOS did not seem to be associated with height and weight at age 7 [163], but the validity of the PFAS measurements has later been called into doubt [72].

v. Strong evidence was recently contributed from the Nurses' Health Study II participants who had provided blood samples in the late 1990s [164]. Among those who were free of diabetes, cardiovascular disease, and cancer at the time, we identified and ascertained 793 incident T2D cases through 2011. Matched diabetes-free controls were selected. After multivariate adjustment for T2D risk factors, higher plasma concentrations of PFOS and PFOA were significantly associated with an elevated risk of developing T2D. Comparing extreme tertiles of PFOS or PFOA, the odds ratios (ORs; 95% CIs) were 1.61 (1.08, 2.39;  $P_{trend}=0.03$ ) and 1.53 (1.04, 2.26;  $P_{trend}=0.03$ ), respectively. Other PFASs were not significantly associated with T2D risk. These findings from a prospective study are particularly important because the nurses had background exposures only.

## 2. Toxicological evidence

Endocrine disruption effects in humans are supported by a substantial number of experimental animal studies [4, 7, 9, 95, 96]. A few key studies are highlighted below.

a. An early study of the effects of APFO (the ammonium salt of PFOA) exposure in rats showed a substantial increase in hepatic aromatase activity [165]. An increase in aromatase activity is likely to increase the formation of estradiol from testosterone, thus a decrease in serum-testosterone and increase in estradiol. Accordingly, changes in serum concentrations of testosterone and estradiol are considered likely to be due to PFAS-mediated changes in the hepatic aromatase activity [166], but interference with sex hormone receptors has also been reported [167]. Such modes of action could well mediate the PFAS-associated endocrine disruption findings in epidemiology studies.

b. A recent study examined the effect of PFOA and PFOS exposure on proteins and cells related to the male reproductive system and demonstrated that both PFOA and PFOS inhibit important drug transporting proteins present in the blood-testis barrier, thereby potentially contributing to male infertility [168].

c. Endocrine disruption effects appear to be independent of PPAR activation and therefore are likely relevant to human PFOA toxicity [7]. Among reported mechanisms, PFOA can activate nuclear receptors other than PPAR, i.e., the constitutive androstane receptor (CAR) and the pregnane X receptor (PXR), and activation of the estrogen receptor (ER) may also be involved [169, 170]. Local testicular effects are indicated by induction of Leydig cell hyperplasia and adenoma in experimental studies, apparently independent of PPAR activation [22].

d. Experimental studies show that mammary gland development in mice is inhibited by PFOA exposure during early development at serum concentrations similar to those occurring in residents in the exposed populations [7, 9, 171]. The State of New Jersey regards this as one of the most sensitive non-carcinogenic endpoints in rodents [172] (see section VIII).

e. Thyroid dysfunction in humans exposed to PFASs is supported by a wide range of studies in laboratory animals [4], and the sensitivity of thyroid functions to environmental chemicals is well documented [173]. Most of the evidence regards PFOA and PFOS, with some studies also covering PFHxS and longer-chain PFASs.

f. Reviews of experimental evidence on obesogenic and diabetogenic chemicals cover the evidence in PFASs as causes of diabetes development [144, 174], also regarding developmental exposures [145]. Rodent studies have demonstrated that glucose homeostasis is adversely affected by PFAS exposure [175].

### 3. Perspective

a. Regarding endocrine disruption, substantial research activity has emerged during recent years, and much improved toxicologic understanding of the mechanisms involved has resulted. In addition, a wealth of epidemiological studies has documented the adverse human health consequences [146].

b. As mentioned under C.1.b, the paper co-authored by 3M scientists and Dr. Gilliland in 1998 reported on clinical pathology results from serum analyses, including reproductive hormones and concluded that there were no significant hormonal changes associated with PFOA at the serum levels measured [176]. While this conclusion was counter to Dr. Gilliland's findings in his thesis project [82], the data analysis in the published paper seems inadequate to justify the conclusion. One difference between the 1998 and Dr. Gilliland's thesis is that Dr. Gilliland relied on total organofluorine concentrations, and the subsequent study referred to PFOA, but this issue was not explored in the published paper. Likewise, the fact that the control group was not unexposed to PFASs was not considered.

c. Serum-hormone changes observed in relation to semen quality suggest that they are both related to endocrine disruption mechanisms, as also indicated by experimental studies in laboratory animals. A concern is that most studies have relied upon PFAS concentrations measured in serum obtained at the wrong time, i.e., not regarding past exposures and the most vulnerable developmental stages. Such exposure misclassification will likely result in an underestimation of the true PFAS effects [177]. Thus, in my opinion, results showing adverse effects could be even stronger during vulnerable developmental time windows is also supported by our studies on prenatal exposure to pesticides [178-180].

d. These findings are of great health relevance, given the known excess mortality associated with decreased serum-testosterone concentrations in men [181]. Thus, medical monitoring of the exposed population for serum-testosterone in men is reasonably necessary to identify early stages of endocrine disruption that may be appropriately treated with testosterone supplements.



e. Recent evidence suggests that thyroid toxicity is of particular relevance in pregnant women with a pre-existing thyroid dysfunction [182]. This issue has not yet been explored regarding PFAS effects, and the evidence so far does not allow any conclusion on impact of PFAS exposure in the presence of borderline iodine deficiency. In general, the lack of assessment of PFAS exposures at the most vulnerable time, lack of assessment of contributing factors, and other determinants will tend to weaken any true association between PFAS exposure and adverse effects on the thyroid gland.

f. Adverse effects on thyroid functions in pregnant women is relevant also in regard to possible developmental neurotoxicity, as certain hormones are crucial to brain development [183], in particular thyroid hormone [139]. A recent neurotoxicology review suggested that developmental effects due to PFASs may be mediated by thyroid toxicity, influence on calcium homeostasis, protein kinase C, synaptic plasticity and cellular differentiation, perhaps as part of a cocktail of substances that in combination reach harmful concentrations [184]. Given the PFAS-associated hormonal disruptions that may occur during fetal development, neurodevelopmental toxicity is likely. The developing brain is a highly sensitive target for environmental chemicals [185], and available evidence shows that the human brain is also likely to be vulnerable to PFAS toxicity, although assessment of the magnitude of PFAS-associated neurotoxic risks is not yet possible.

g. Only recently has scientific attention focused on developmental neurotoxicity as a highly sensitive outcome that can have serious consequences, also in regard to economic costs to society [201]. While it could have been relevant and appropriate to examine neurotoxicity from prenatal exposures, and while evidence of such effects due to lead contamination were well known already in the 1980s [211], this issue was not explored at the time, and the C8 Panel did not make this a priority, presumably because little evidence at the time suggested that the developing brain could be an important target organ in regard to PFAS exposures. My judgment therefore relies on the coverage and directionality of very recent research and the likelihood that established neurotoxic mechanisms are triggered by PFAS exposure.

h. For the exposed population, monitoring for thyroid function is reasonably necessary and beneficial, as PFAS-associated thyroid dysfunction could be identified early in the pathogenesis and treated with a better prospect for the patient than if the disease was diagnosed at a later stage when symptoms become apparent. Medical monitoring for thyroid function in pregnant women with elevated PFAS exposure would also represent a major contribution to preventing developmental neurotoxicity in children [186].

Much attention is currently being paid to these issues regarding PFAS exposures, in birth cohorts and in prospective studies of adult populations. Studies like that are likely to substantially extend the data base to evaluate the adverse impacts of PFAS exposures.

Recent information suggests that obese persons are healthier and live longer now than in previous decades, likely because of better care and risk-factor management [187]. However, the joint public health effect of increased prevalence and decreased mortality leads to more years spent with obesity and more time for the damaging coexisting illnesses, such as type 2 diabetes and chronic kidney disease, to develop. Medical monitoring for the exposed



population is reasonably necessary and would allow early detection of early stages of type 2 diabetes and would allow improved prevention of late-stage adverse effects, such as blindness, peripheral neuropathy, and kidney dysfunction.

About one-quarter of U.S. adults have undiagnosed type 2 diabetes [188], and the undiagnosed and untreated proportion is likely to be greater in particular groups at risk, such as subjects exposed to diabetogenic substances like PFOA. While recommendations differ, the use of fasting plasma glucose  $\geq 100$  mg/dL or hemoglobin A1c  $\geq 5.7\%$  would represent a major public health advantage [189]. Identifying subjects with early or latent stages of this serious disease by periodic diagnostic medical examinations would allow treatment that would be particularly beneficial when the disease is identified early in the course. Medical monitoring of the exposed population for latent stages of endocrine diseases or dysfunctions is reasonably necessary to allow early detection.

#### **D. Liver toxicity**

It is my opinion, based on the weight of the epidemiological evidence, and supporting toxicity evidence, that PFASs pose a substantial present and potential hazard to human liver functions, with related adverse health effects.

The liver is an important target organ for PFAS toxicity in humans as in animals, and such effects have been referred to by regulatory agencies in regard to determining tolerable exposure limits [1, 4, 95, 96]. Taken as a whole, PFAS exposure at levels similar to or below those reported from the area around the polluting facility are associated with a range of liver toxicity effects.

PFAS's adverse effects on liver functions are reflected by elevations of serum-cholesterol concentrations and other important serum lipid parameters. Even small increases are likely to have negative implications regarding cardiovascular disease and mortality. In this regard, the C8 Panel concluded that PFOA is linked to an increased risk of elevated serum-cholesterol, and but not (yet) hypertension and coronary artery disease [190]. As hypercholesterolemia and cardiovascular disease are of major public health concern, these issues are discussed under a separate heading (Section VII.E).

#### **1. Epidemiological evidence**

a. Increased serum-cholesterol concentrations at elevated PFAS exposures likely relate to toxic effects on liver functions, and increased concentrations of liver enzymes in serum at higher PFOA exposures support this notion in about a dozen epidemiological studies. An early mention of adverse liver effects from PFAS exposure in workers is from 1978, as described in my report to the State of Minnesota.

b. In 1980, DuPont shared the results of a pilot study called "liver enzyme study of workers exposed to C-8 at Parkersburg," where they found elevated mean serum concentrations of aspartate aminotransferase (AST, previously referred to as SGOT) and

alkaline phosphatase (AP) among exposed workers.<sup>4</sup> This report is available from the U.S. EPA (as are all documents identified by a document number beginning with AR226).

c. In his thesis, Dr. Gilliland found increases in serum concentrations of SGOT and SGPT (now referred to as AST and ALT), as well as a tendency toward lower HDL cholesterol, as markers of adverse effects on liver function [82]. Other cross-sectional studies [191, 248] were not informative in this regard, perhaps because a variety of other factors can impact on liver functions. The studies were reviewed in greater detail in regard to immune function parameters in Section VII.A.

d. Further analyses of medical surveillance data on PFOA-exposed workers in Minnesota led to a 3M paper that relied on cross-sectional analyses of PFOA and liver function data collected in 1993, 1995 and 1997 [191]. While the wording differs from a previous report [176], the authors concluded that employees' serum PFOA levels were not positively associated with either clinical hepatic toxicity nor hepatic responses to obesity and alcohol.<sup>5</sup>

e. Drs. Olsen and Mandel reported in 1998 results on PFOS-exposed Antwerp and Decatur male fluorochemicals production workers, in which they concluded that hematological, clinical chemistry and hormonal abnormalities were not associated with serum PFOS levels up to 6 ppm (6,000 ng/mL).<sup>6</sup> Although deviations occurred at higher exposures, the authors disregarded these findings, basing this decision on a determination there were too few subjects to allow a firm conclusion [192]. A later analysis of medical surveillance data from the workers again showed a positive association between the serum-PFOA concentration and both cholesterol and triglycerides. Although incompletely reported, the findings were considered implausible, as they were not in accordance with animal data at much higher exposures [153].

f. However, new information on this study has surfaced at a recent law suit, as internal company documents were released and are now available from the office of the Minnesota Attorney General. Among these documents is a draft, dated October 11, 2001, of the published article.<sup>7</sup> This draft lists the same authors and concludes that there was a positive association between PFOA and serum cholesterol and triglycerides over time, as based on 20 tables with regression results. Only partial results without *p* values from four of the tables were included in the published article [153]. This article has been cited about 200 times in the scientific literature and by regulatory agencies as indication that high levels of occupational PFOA exposures are not hepatotoxic, and the validity must now be called in doubt.

<sup>4</sup> AR226-1465.pdf, January 28 1980, Liver Enzyme Study of Workers exposed to C-8 at Parkersburg, Exhibit CC (EID099433-34). Page 000186.

<sup>5</sup> AR226-0477. Geary W. Olsen, et al., An Epidemiologic Investigation of Plasma Cholecystokinin and Hepatic Function in Perfluorooctanoic Acid Production Workers, 3M Final Report EPI-0003 (1997), with Summary of study, Protocol, and Manuscript accepted for publication in 2000, Drug & Chemical Toxicology. Page 003511.

<sup>6</sup> AR226-0030. An Epidemiologic Investigation of Clinical Chemistries, Hematology and Hormones in Relation to Serum Levels of Perfluorooctane Sulfonate in Male Fluorochemical Production Employees (List of Section Attachments is first page of this File). Page 001074.

<sup>7</sup> Draft manuscript dated October 11, 2001, PTX1799, Bates pages 3M-MN02482163-225. URL: [https://www.ag.state.mn.us/Office/PressRelease/201803\\_3M\\_PlaintiffsExhibits.asp](https://www.ag.state.mn.us/Office/PressRelease/201803_3M_PlaintiffsExhibits.asp).

g. The C8 Health Project examined 47,092 adults for effects of PFOA and PFOS on alanine transaminase (ALT), gamma-glutamyltransferase, and bilirubin as markers of liver function. These results showed a positive association between serum PFOA and PFOS concentrations and the serum ALT concentration [193], usually interpreted as a sign of hepatocellular damage. When serum-PFOA concentrations were modeled as cumulated concentrations, the adverse effect on serum ALT concentrations was replicated in a mixed Ohio River Valley population [194].

h. Likewise, in a general population sample from the NHANES study, liver enzymes showed significant, though small, increases at higher serum-PFOA concentrations [195].

i. Several occupational studies, both cross-sectional and prospective, have assessed liver function parameters in serum, the most recent ones [191, 196, 197] showing that, in general, liver enzymes tend to increase, while bilirubin decreases at higher PFAS exposure levels.

## 2. Toxicological evidence

a. The liver was early identified as a main target organ in rodents [88]. Although toxic mechanisms may differ between rodents and humans [7, 22], as I discussed above, the PPAR-related mechanism is no longer believed to be the differentiator that 3M once made it out to be [10].

b. Detailed discussion of liver toxicity in experimental models is included in recent evaluations by regulatory agencies [4, 95, 96], to which little recent evidence adds only little.

c. One aspect deserves consideration, i.e., the intrahepatic lipid metabolism. Some PFASs have the potential to induce hepatic lipid accumulation in cynomolgus monkey [198] and induce lipid synthesis gene expression in human hepatocytes [199].

d. In mice, PFOS administration induced hepatic steatosis in time- and dose-dependent manner along with corresponding CD36 and Lpl expression induction and decreased mitochondrial  $\beta$ -oxidation in mice [200]. Also, in exposed animals, accumulation of lipid droplets in hepatocytes was observed. These findings suggest that steatosis and fatty liver degeneration may be relevant outcomes of elevated PFAS exposure.

## 3. Perspective

Even though the liver and lipid metabolism were identified early on as likely targets of PFAS exposure, it appears that the understanding of the impact on workers' health and on exposed communities in general developed very slowly and that great hesitation was repeatedly voiced against accepting a hypothesis of PFAS hepatotoxicity.

a. As late as 2003, 3M authors argued that a positive association between PFOA exposure and cholesterol in 3M workers "is contrary to the substantial body of toxicological literature that suggests a negative association in laboratory animals" [153].

However, in a more recent article [201], the 3M authors relied on a species difference in liver metabolism (associated with the PPAR receptor) and for this reason concluded that hepatocellular tumors in rats are “not likely to be relevant to humans.” However, these positions are inconsistent. It is not appropriate in one connection to require similar hepatotoxic effects in different species and in another to raise doubt about such similarity.

b. In regard to liver steatosis, up to 10% of adolescents have non-alcoholic fatty liver disease [202, 203]. As a considerable and apparently growing public health problem of partially unknown origin, this outcome requires attention in future studies of PFAS-associated adverse human health effects.

c. EFSA considered elevations in ALT as one of the major adverse effects of PFOA exposure in adults when deciding on a PTWI [51].

d. Clearly, monitoring of liver functions in the exposed population would be reasonably necessary and appropriate to allow early detection of dysfunctions. Much experience is available on the diagnostic use of elevated liver enzyme activities in serum [204], and the high prevalence of non-alcoholic liver disease in the general population supports the need for medical monitoring of liver functions in PFOA-exposed population. Cholesterol is covered below.

#### **E. Cardiovascular disease**

It is my opinion, based on the weight of the epidemiological evidence, and supporting toxicity evidence, that PFASs pose a substantial present and potential hazard to human health due to elevated cholesterol and obesity, both of which are important risk factors for the development of cardiovascular disease. The evidence is strong that PFASs cause adverse cardiovascular effects.

As discussed above, serum concentrations of total cholesterol and other important serum lipid parameters increase at higher PFAS exposures. Obesity is considered a result of metabolic abnormalities, where type 2 diabetes is an additional outcome (dealt with under endocrine disruption). Both confer an increased risk of cardiovascular disease. Even a small increase would likely have negative implications regarding cardiovascular morbidity and possibly mortality. The C8 Panel concluded that PFOA is linked to an increased risk of hypertension in pregnancy, elevated serum-cholesterol, and potentially also coronary artery disease, although the latter was not considered sufficiently supported by the evidence available at the time.

Due to the high incidence of cardiovascular disease, even a small increase in life-time risk is of serious health importance. An article recommends immediate action to prevent even ‘background’ exposures to PFOA [205].

#### **1. Epidemiological evidence**

a. The early 3M occupational study by Dr. Gilliland addressed serum chemistry abnormalities in exposed workers [176] and showed an inverse correlation (adverse effect) between organic fluorine compounds (assumed to be mainly PFOA) and HDL.



cholesterol. However, the previously mentioned draft by same authors concludes that there was a positive association between PFOA and serum cholesterol and triglycerides over time, as based on 20 tables with regression results.<sup>v</sup>

b. Evidence from cross-sectional and, in particular, prospective studies of workers at other plants also suggested that increased PFOA exposure is associated with higher serum-cholesterol concentrations [196, 197, 206].

c. Cross-sectional data on 1216 subjects from the 1999-2003 NHANES showed that increasing serum-PFOA concentrations were positively associated with self-reported cardiovascular disease, including coronary heart disease and stroke, and objectively measured peripheral arterial disease (an ankle-brachial blood pressure index of less than 0.9). The highest PFOA quartile showed a doubling of cardiovascular disease after confounder adjustment [207].

d. Community and general population groups with lower levels of PFOA exposure have also revealed positive correlations (adverse effects) between PFOA and cholesterol concentrations in serum [208-211].

e. In some populations, other PFASs were also measured, and positive associations were found in regard to PFOS exposure [209-211], a finding that we have replicated in elderly subjects from the Faroe Islands, where exposure levels are similar to background levels in the US (unpublished results).

f. Data from the C8 project showed that total cholesterol and LDL-cholesterol increased at higher PFOA exposures (and HDL increases in women) [159]. The variability in PFAS-associated cholesterol changes is quite large [8], possibly due to a variety of factors, such as age, sex, and body mass index could affect the degrees of the relationship [212].

g. Indirect evidence suggests that PFAS metabolism is not linked to changes in lipid metabolism (which would suggest a reverse causation), thereby rejecting a hypothesis that both PFASs and cholesterol could be affected by a common cause that would produce apparent positive associations between PFASs and cholesterol in serum. Thus, subjects who are taking statins to decrease their serum-cholesterol do not show any lower serum-PFAS concentrations [210]. This report agrees with our findings in the Faroes (unpublished).

h. While early data from Cottage Grove were reported to show no risk, an increased risk of cerebrovascular disease was indicated by a mortality study that relied on comparisons with the general population [213]. The subsequent 3M-supported follow-up [214] again showed strongly elevated risk of cerebrovascular death in workers with high exposure, especially when compared to an internal control group.

i. Cross-sectional NHANES data suggest that serum-PFOA concentration is associated with systolic blood pressure and the risk of hypertension [215]. Hypertension may relate to an increased risk of cerebrovascular mortality.

<sup>v</sup> Draft, dated October 11, 2001, PTX1799, vide supra.

j. NHANES data suggest that increased serum concentrations of PFOA and PFOS are associated with an increased risk of chronic kidney disease, as defined by a low glomerular filtration rate [216].<sup>w</sup> The C8 Project examined association of serum PFOA levels with uric acid after adjustment for potential confounders. An increased risk of elevated uric acid was found in adults, including clinically defined hyperuricemia [217]. Again, this evidence is yet somewhat uncertain, as reverse causation may be present, i.e., due to the kidney disease preventing PFAS excretion via the urine [29]. Kidney toxicity could predispose to cardiovascular disease.

k. Epidemiological studies have rarely considered obesity as an outcome, but often included the body mass index (BMI) as a covariate [192], although adjustment for BMI may be inappropriate if obesity is an outcome of PFAS exposure. PFOA in stored pregnancy serum from a birth cohort of 422 subjects examined again at age 20 years was positively correlated (adverse effect) with body mass index (BMI) and other indicators of obesity [191].

l. Similar findings were reported from a birth cohort of 1,006 children in Boston [192], where higher prenatal exposure to PFOA, PFOS and PFHxS was associated with higher BMI, skinfold thicknesses and DXA assessment of total body fat, although only in girls at 7-8 years of age. Additional support derives from a birth cohort study that relied on joint data from Greenland and the Ukraine [193].

m. In Faroese children, born in 2007-2009, maternal pregnancy serum concentrations of PFOS and PFOA were associated with increased body mass index (BMI) and/or overweight risk at age 5 years [138].

n. Perhaps the most convincing evidence resulted from a randomized clinical trial, where obese subjects underwent calorie-restricted diets [218]. After multivariate adjustment, baseline PFAS concentrations were not significantly associated with concurrent body weight or weight loss during the first 6 months. In contrast, higher baseline levels of PFASs were significantly associated with a greater weight regain, primarily in women. In women, comparing the highest to the lowest tertiles of PFOA concentrations, the multivariate-adjusted mean weight regain (SE) was 4.3 (0.9) versus 2.2 (0.8) kg (Ptrend = 0.007) When further adjusted for changes in body weight or thyroid hormones during the first 6 months, results remained similar.

## 2. Toxicological evidence

a. Detailed discussion of elevated cholesterol and other risk factors in experimental models is included in recent evaluations by regulatory agencies [4, 95, 96]. The

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<sup>w</sup> I note that the first author of this article, and co-author of five other publications on cardiovascular outcomes in PFOS-exposed populations, has provided erroneous information to the West Virginia University regarding his educational background. The articles in question were co-authored by established colleagues, and none of them has been retracted.

caveat expressed by 3M authors that rodents don't show elevated cholesterol at elevated PFAS exposures is no longer relevant due to the known species differences.

### 3. Perspective

a. Due to the impact of covariates and the fact that cholesterol concentrations in the C8 study were lower than elsewhere in the U.S., selection bias in this study has been suggested [212]. However, although some selection forces likely played a role, there is little evidence to suggest that it caused bias away from the null hypothesis regarding PFOA exposure and cholesterol. PFAS-associated increases in cholesterol are of sufficient magnitude to lead to a substantial overall impact on human health. The strongest associations in general refer to total cholesterol, but some studies have also examined lipoprotein fractions and found that especially low-density (LDL-cholesterol) increases at higher serum-PFAS concentrations.

b. Even if PFAS exposure explains only a small part of the variation in serum-cholesterol concentrations, still small increases in total and LDL cholesterol are associated with increased risks of cardiovascular disease. Some have noted that an increased mortality attributed to this cause has not been documented so far [8]. Thus, previous studies have suggested that cardiovascular mortality in PFAS workers is below expectation. However, this could arise from a healthy worker effect. Some evidence of increased risk 10 years after first employment was noted [32], as would be expected when the healthy-worker effects wears out.

c. Other serum parameters that may reflect kidney dysfunction, such as creatinine and blood urea nitrogen (BUN), were assessed in occupational studies. However, no clear associations with PFAS exposure biomarkers were found [206].

d. In regard to obesity, approximately one-third of adults and 17% of youth in the United States are obese [219]. Recent prospective studies of birth cohorts suggest that early-life exposures impact anthropometric measures in childhood and adolescence (see Section VII.B.1). Given that obesity is already a serious public health problem, any increased risk due to PFOA exposure and the resulting impact on cardiovascular disease must be considered serious on its own.

e. For PFOA, EFSA considered the increase of serum cholesterol to be the critical effect associated with exposures in adults [51]. BMDL values from two studies corresponded to an estimated chronic intake of 0.8 ng/kg bw (body weight) per day, i.e., a TWI of 6 ng/kg bw per week. From this PTWI, a water limit of 3 ng/L can be calculated.

f. Screening for hypercholesterolemia is recommended for populations at risk, e.g., by the American Heart Association.<sup>\*</sup> Thus, lipid screening is an established procedure that is vital in detecting and managing lipid disorders that may be asymptomatic and may lead to cardiovascular disease [220]. Likewise, monitoring of body weight and BMI is essential in preventing cardiovascular disease. Criteria for identifying subjects for possible obesity interventions have been recently published [221]. These established procedures are not routine within the health care system, but are necessary and appropriate to monitor the exposed

<sup>\*</sup> [http://www.heart.org/HEARTORG/Conditions/Cholesterol/Cholesterol\\_UCM\\_001089\\_SubHomePage.jsp](http://www.heart.org/HEARTORG/Conditions/Cholesterol/Cholesterol_UCM_001089_SubHomePage.jsp).

population in order to identify latent stages of serious cardiovascular disease, for which these subjects have an increased risk.

## **F. Carcinogenicity**

It is my opinion, based on the weight of the epidemiological evidence, and supporting toxicity evidence, that PFASs pose a substantial present and potential hazard to human health as carcinogens.

As detailed evaluations have been recently published<sup>9</sup>, I shall briefly summarize the early reports along with the most recent evaluations.

Early studies in PFAS-exposed workers suggested a risk of prostate cancer, and support for this association comes from more recent studies that also include populations exposed at background levels. While early studies usually referred to cancer mortality, which is appropriate for sites like liver and pancreas due to the high fatality of these diseases, other sites are better explored using incidence data, while considering the impact of screening efforts, e.g., for prostate cancer.

A variety of subsequent studies demonstrate that PFAS exposure is associated with development of cancer at several sites. PFOA has been found to satisfy the EPA's criteria to be classified as "likely to be carcinogenic to humans" [222]. The IARC concluded last year that PFOA is a possible (Group 2B) human carcinogen [6]. The C8 Science Panel concluded that there is a probable link from PFOA exposure to testicular cancer and kidney cancer. All of these effects have been reported at background levels or at elevated exposures overlapping with those documented in residents in the exposed communities. The main organs affected are the kidneys, testicles, prostate, and perhaps bladder and breast.

Based on the available evidence, exposure to PFASs has a substantial potential to cause cancer, most clearly for cancers of the kidneys and the testicles, and highly likely also for prostate cancer and bladder cancer. A possible risk of breast cancer is also of concern. The American Cancer Society has issued several guidelines on early detection of these cancers for different age groups.<sup>9</sup> These recommendations are of particular relevance to subjects with elevated exposures to PFOA.

### **1. Epidemiological evidence**

#### ***Cancer risk assessments***

a. In the most recent evaluation of cancer risk association with PFOA exposure, the IARC classified this substance as a possible human carcinogen (Group 2B) and concluded that there was relevant, though limited, evidence in humans that PFOA causes testicular and kidney cancer, while evidence for human carcinogenicity at other sites, such as

<sup>9</sup> <https://www.cancer.org/healthy/find-cancer-early/cancer-screening-guidelines/american-cancer-society-guidelines-for-the-early-detection-of-cancer.html>



bladder, prostate, thyroid, liver and pancreas was inadequate at the time of the evaluation; relevant evidence in experimental animals was also considered limited [6].

b. The EPA's Science Advisory Board in 2006 reviewed the information available on PFOA at the time and suggested that the cancer data were consistent with the EPA Guidelines for Carcinogen Risk Assessment descriptor "likely to be carcinogenic to humans" [222]. The evidence available for PFOS and/or other PFASs may also be sufficient for this classification, but no conclusion was reached.

c. As I describe in more detail below, the C8 Science Panel concluded that there is a probable link from PFOA to testicular cancer and kidney cancer. The C8 Panel conducted further studies, including an update of a previous occupational study [31]. Recent evidence suggests that additional cancer sites are affected by excess PFAS exposures and will be reviewed below.

#### *Occupational studies*

d. As described below, a dose-associated increased risk of kidney cancer was observed in workers in a fluoropolymer production plant in West Virginia, USA, and in the local community exposed to releases from the plant. Likewise, an increased risk of testicular cancer occurred in highly exposed local residents. Relevant evidence in humans also referred to other cancers [6].

e. Occupational mortality studies have been carried out in PFOS-exposed worker populations from a production plant in Alabama [213, 223] and PFOA-exposed workers from West Virginia [224] and Minnesota [225]. In evaluating this evidence, account must be taken of duration of exposure, exposure assessment methods, age at entry, and duration of follow-up, as discussed by IARC [6]. In addition, follow-up and case-control studies have focused on exposed communities. Some reports are not considered here, as small numbers of cases or other weaknesses make them less relevant to the evaluation. While the recent evaluation report relied on published evidence, some internal studies have been conducted in the past and provide some supplementary evidence. A key concern in these studies is the choice of comparison population, cf. the comments made above regarding the "healthy worker effect" (see section V.A.1).

f. In April 1989, DuPont issued an Internal Report, "An investigation into the occurrence of leukemia at Washington Works." The standardized mortality ratio was 2.1, but was determined by DuPont not to be statistically significant.<sup>2</sup> Leukemia has not been considered in recent reports that mainly relied on mortality data, that are not a reliable source for hematopoietic cancer incidence.

g. In a subsequent report from 1992, DuPont examined the cancer surveillance data for 1956-1989 and mortality data for 1957-1991. For cancer, DuPont found a

<sup>2</sup> AR226-1308-1.pdf, DuPont Internal Report, "An Investigation Into The Occurrence of Leukemia At Washington Works" (April 1989) (EID584220-30) DuPont Internal Final Report, "A Case-Control Study of Leukemia At the Washington Works Site" (12/3/91) (EID151953-65). Page EID584221.

null result overall, but significant findings existed for specific sites, such as buccal cavity, pharynx, kidney and leukemia, among male employees (too few female employees). For mortality, mostly seen were deficit deaths (healthy worker effect) among males; among females, there was a significant excess of residual causes of deaths.\* Insufficient information is available to judge this report, but it illustrates that attention was paid to cancer risks early on.

#### *Kidney cancer*

h. Regarding kidney cancer, the C8 Panel concluded: "For kidney cancer, the worker mortality study conducted by the Science Panel showed a higher risk in the most highly exposed group compared to lower exposure groups among the workforce, but the risks were not elevated compared to the US population. In the cohort study, there was a gradient of increasing risk with increasing exposure but most strongly in the analyses that included exposure up to the time of diagnosis. When the 10 years of exposure prior to diagnosis was excluded, the association was less evident. No association was seen in the prospective analysis of cohort data, although the latter is limited by small numbers. In the geographic study, some results suggested an increasing risk of kidney cancer with increasing exposure and others did not. The science panel considers that the excesses observed indicate a probable link between PFOA and kidney cancer."

i. The C8 Panel reviewed and relied on several studies, as did the IARC working group. Increased risk of kidney cancer with a statistically significant exposure-response trend was reported in workers in a fluoropolymer production plant in West Virginia and in an exposed community near the plant [224, 226].

j. In further detail, elevated mortality from malignant kidney disease was documented among 5,791 workers exposed to PFOA in West Virginia [224]. No clear risk was seen in the small number of cases among PFOA-exposed workers from Minnesota [225]. However, community-based evidence [226, 227] showed an elevated incidence of kidney cancer associated with PFOA exposure.

#### *Testicular cancer*

k. The C8 report concludes: "For testicular cancer, there is evidence of a positive trend in risk across exposure groups, in some analyses, with the highest exposure group in both the internal analyses of the cohort study and the geographical cancer study showing estimated relative risks ranging from 3 to over 6 comparing the highest to lowest exposure groups. [...] The high exposure group, where the higher risk was observed, comprises only six cases therefore there remains some uncertainty. The Science Panel notes that there is experimental evidence of testis cancer being increased in exposed animals. The Science Panel considers observed excesses to indicate a probable link between PFOA and testicular cancer." The conclusions from IARC [6] are similar in regard to testicular cancer.

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\* AR226-1546. Washington Works Cancer Surveillance Data Mortality and Cancer Incidence. Pages EID521396 and EID521399.

l. Mortality studies are unlikely to identify all cases of testicular cancer, and better evidence must rely on incidence data. The C8 Panel and IARC emphasized the results from the community study that documented an elevated incidence of testicular cancer at higher PFOA exposures in the Mid-Ohio River Valley near the production plant [226, 227].

#### *Prostate cancer*

Both prostate cancer and bladder cancer are diagnoses that may not necessarily appear on a death certificate, as the patient may die from some other disease, rather than the cancer, because the cancer is often curable or may not be fatal for several years. In addition, cancer risks in these types of studies often are calculated from small numbers of cases and may therefore not deviate with statistical significance from expectation. Thus, although the risk may not be significantly elevated, the upper confidence limit could be 5 or higher, suggesting that, at the same time, a 5-fold increased risk or greater cannot be ruled out. However, based on published evidence at the time, neither the C8 Panel nor the IARC considered prostate cancer a probable risk associated with PFOA exposure.

m. In a follow-up to 3M's 1989 mortality study carried out by Dr. Mandel, involving almost 3,000 male 3M workers at Cottage Grove from 1947 to 1983, ten years of employment in exposed jobs was associated with a statistically significant increase in prostate cancer mortality (more than three-fold). Still, this calculation was based on four cases among the exposed workers [30]. Unfortunately, comparisons with the general population of Minnesota probably biased the results of this study toward underestimated risks.

n. In 1993, Dr. Gilliland and Dr. Mandel of 3M published a paper based on the new mortality study included in Gilliland's thesis.<sup>6</sup> There were mostly null findings, except, as before, for prostate cancer (a 3.3-fold increase in mortality). Again, the number of cases was small ( $n = 6$ ). The paper sought to explain the observed prostate cancer deaths as due to a purported higher prevalence of prostate cancer in Minnesota than the U.S. (control group) and/or as a chance finding.<sup>7</sup>

o. In a mortality study of almost 4,000 employees exposed to PFOA, no clear tendencies were found for liver, pancreatic or testicular cancer. An increased standardized mortality ratio, however, was found for prostate cancer, and a 6.6-fold increased risk was found in workers with definite exposure. I note that the statistical significance relied upon two cases among the workers with known high exposure [214].

p. The most recent update is from a thesis completed in 2013.<sup>8a</sup> Using air monitoring results (and ignoring non-respiratory intakes), this study of 9,000 workers hired after 1947 compared deaths at Cottage Grove with those at the unexposed St. Paul plant through to 2002. When dividing the workers into six different exposure groups, a dose-dependent risk

<sup>6</sup> AR226-0472.pdf, Frank S. Gilliland & Jack S. Mandel, Mortality Among Employees of a Perfluorooctanoic Acid Production plant, 35 JOM 950-954 (September 1993), with Summary of study. Page 003166.

<sup>7</sup> AR226-0471, Jack S. Mandel & Leonard M. Schuman, "Mortality Study at the 3M Chemolite Plant" (January 1989), with Summary of study. Pages 003148-003149.

<sup>8a</sup> Cancer mortality in 3M chemical workers (PhD thesis by Katherine Koehler Raleigh). URL: <https://conservancy.umn.edu/handle/11299/171701>



appeared for prostate cancer, although not statistically significant. The author concluded that the results supported previous findings of a prostate cancer risk. However, in the published report [225] that was co-authored by 3M's Dr. Olsen, emphasis was on comparisons with the general population, no clear trend was found in quartile exposure groups, and the lack of association of prostate cancer with the exposure estimate was said to be in agreement with the findings in other studies. In discussing the possible risk factors, the Discussion section of the published article notes that family history of prostate cancer may play a role (see below under r).

q. In support of prostate cancer as a potential outcome of PFAS exposures, a nested case-control study of cancer incidence (based on diagnosis reporting, rather than mortality) in a Danish general population group focused on 713, 332, 128, and 67 cases of prostate, bladder, pancreatic, and liver cancers found during a follow-up of approximately 10 years after a baseline examination with blood sampling. At the background exposure with a small variance, modest positive associations were found between serum concentrations of both PFOA and PFOS in regard only to prostate cancer morbidity [228].

r. A recent case-control study from Sweden showed similar serum-PFAS concentrations in 201 cases and 186 population-based controls. Heredity, i.e., a first-degree relative with the disease, was a risk factor, as has been documented before, and among those with a positive family history, elevated serum concentrations of both PFOA and PFOS were associated with a significantly increased risk of prostate cancer [229]. Accordingly, PFAS exposure may contribute to the etiology of this cancer type, although this may not be evident, unless family history is considered.

#### ***Bladder cancer***

The current evidence is less strong as to bladder cancer, in part because mortality studies are unlikely to reflect this diagnosis, in part because incidence studies carried out relied on self-reports of past diagnoses. In addition, some studies included too few cases to support statistical analyses, and the two evaluations by the C8 Panel and IARC did not consider the evidence sufficient to draw a conclusion.

s. A study of PFOS-exposed workers showed that bladder cancer mortality was elevated among individuals with at least one year of exposure. I note that this finding was based on three deaths only, all of which occurred in workers deemed to have been highly exposed [213]. In addition, the results were obtained in comparison with the Alabama general population, and the low mortality ratio for lung cancer did not suggest that smoking was an important confounder.

t. In a subsequent reevaluation of the same cohort, mail questionnaires were used to include incident cases of bladder cancer. The incidence was not found to differ much from expectation, although an increased risk among the most highly exposed workers could not be ruled out [223]. The most recent follow-up by these authors used a job-exposure matrix to complement exposure estimates for comparison with cancer registry data; the findings do not support elevated bladder cancer risks otherwise observed in comparison with state averages, although the study cannot rule out the possibility of a risk [225]. Serum-PFAS measurements were available for many employees, but these data were considered. In addition,



as smoking is a risk factor for bladder cancer, a comparison between rates for lung cancer and bladder cancer would have elucidated whether smoking-related cancer risks were similar in the occupational groups and in the comparison population. For example, the plausibility of a bladder cancer risk in fluoride-exposed workers [230] is supported by the observation of a greater increase in bladder cancer risk than in lung cancer risk among the workers, although the latter risk is much greater in smokers. The pattern was the same in the PFOA-exposed workers, with a greater excess in bladder cancer than in lung cancer.

Considering uncertainties in mortality data for bladder cancer and the wide confidence intervals associated with small numbers of cases, these studies showed non-significant associations, but could not rule out effects of a magnitude that would be of substantial public health concern.

#### *Other sites*

u. Thyroid cancer seemed elevated in one analysis, and the same was true for pancreatic cancer [231]. Risk of liver cancer was apparently not elevated in any study [6]. In rodents, PFOA acts as a PPAR $\alpha$  agonist, which is linked to the development of liver tumors, pancreas acinar cell adenomas, and Leydig cell tumors. Thus, in regard to tumor site, animal studies are not predictive of the most relevant sites in humans.

v. Apart from the focused studies referred to above, a recent review by the Institute of Medicine suggested that PFOA exposure may lead to breast cancer [232]. Likewise, the EPA's Science Advisory Board called attention to this potential, given the elevated occurrence of fibroadenomas and adenocarcinomas of the breast in two feeding studies in rats [233]. Although breast cancer may also be plausible from the evidence of endocrine disruption, only limited epidemiological support is at hand.

w. For example, a study of 31 breast cancer cases in Greenland found elevated current serum PFAS concentrations as compared to controls [234]. An extended study of 77 cases and 84 controls [235] again showed higher serum-PFAS concentrations in cases compared to controls, but similar differences also occurred in lipophilic contaminants (such as PCBs), and it is impossible to determine the possible contribution by PFASs alone.

## **2. Toxicological evidence**

a. Cancer effects in humans from PFASs are supported by experimental animal studies. The IARC evaluation considered the published evidence regarding mechanisms of PFOA-associated carcinogenesis to be moderate, which did not lead to a change in the overall classification of PFOA as a Group 2B carcinogen [6]. I understand that PFOA is currently being tested in two-year bioassays by the National Toxicology Program.

b. PFOA was examined for carcinogenicity by the oral route of exposure in two studies in rats, with some initiation-promotion studies, as reviewed by the IARC in the evaluation discussed above [6].

c. The results from a rat bioassay sponsored by 3M were submitted to the EPA in 1983 and almost 30 years later released in a journal publication in 2012 [236]. The

results of this 2-year study documented dose-related PFOA-induced liver tumors and Leydig cell tumors of the testicles [237], and subsequent review suggested effects on pancreatic acinar cell adenoma and carcinoma, while mammary gland lesions were found not to reflect possible breast cancer development [6].

d. Results from a second rat study showed elevated incidence of hepatocellular adenoma, testicular Leydig cell adenomas, and pancreatic acinar cell adenoma and carcinoma [238]. So far, no bioassay has been conducted in another mammal species.

e. In mice, PFOA exposure induced stromal hyperplasia in mammary glands at 18 months, an effect that is hypothesized to increase susceptibility for tumor growth in rodents and humans [9].

f. Certain scientists, particularly those at 3M, argue that a PPAR-related mechanism may explain liver carcinogenicity in some animal models. However, as discussed above, and as concluded in a recent risk assessment, both human and mouse PPAR- $\alpha$  are activated by PFOA *in vitro* [10]. EPA guidelines suggest that the non-PPAR dependent tumors, where available data do not justify establishing a rodent-specific mode of action, should be presumed to be relevant to humans [7, 22]. Still, the liver does not appear to be a primary target for cancer in humans.

### 3. Perspective

Evidence of carcinogenicity in humans can be equivocal, e.g., when occupational populations are small and relatively young, follow-up durations are short, historical exposure levels are uncertain, individuals have had simultaneous exposures to other compounds, when cancer cases may be incompletely ascertained, and when preexisting conditions may complicate the evaluation. The imprecise or incomplete data often limit the information that can be extracted from these studies [8]. Still, the weight of the evidence shows likely PFOA carcinogenicity, as the C8 Panel found for testicular cancer and kidney cancer [231].

When the numbers suggest a lack of statistical significance, that is only one side of the coin, see Section V.A. The other side regards the magnitude of a possible adverse effect that could have been overlooked, given the available data. Some researchers might conclude that the absence of a statistically significant excess risk suggests that the risk is absent, while others, including myself, representing what is becoming the leading view, believe that such results must be interpreted in light of the total information at hand [37]. How large an effect could be overlooked or ignored as 'non-significant'? Often, available studies of young working populations with limited follow-up, possible incomplete ascertainment of cases, with healthy worker bias, and other limitations, cannot provide confidence that a risk is absent (see Table 1).

a. Regarding occupational mortality studies, a retrospective cohort study was completed in 1980 by Dr. Mandel in 1980 at the 3M Chemolite plant (Cottage Grove, Minnesota) site, as summarized in Dr. Gilliland's thesis [82]. No significant findings were observed, but the standardized mortality ratio for some cancers exceeded one (e.g., for cancer of the prostate and testis), a finding that would signal the need for further follow-up, given the anticipated healthy-worker effect and short follow-up time.

b. In the mortality study of PFOA production workers carried out as part of Dr. Gilliland's thesis project, a 3.3-fold increase was seen in prostate cancer mortality compared to no employment in PFOA production, although based on only six prostate cancer deaths [30].

c. In a retrospective cohort mortality study from 1995 on 3M employees in Decatur, Alabama, data were collected through 1991. No significant excess in mortality was seen. Presumably, this may have been the origin of the observations on bladder cancer in workers employed through the end of 1997, published in 2003 [213]. A later follow-up report in 2007, also by 3M-scientists, challenged those findings of excess bladder cancer, although the cancer outcome was now ascertained by postal questionnaire only [223].

d. At the request of the C8 Panel, a similar retrospective follow-up study in West Virginia included over 6,000 men and women employed during 1948-2002 and followed up through 2002. The results found little deviation that would suggest an excess cancer risk [31], although the findings as well could also not exclude the presence of an importantly elevated risk.

e. Among potential mechanisms for cancer development, immunotoxicity may be involved (see above Section A), and the IARC evaluation of PFOA [6] noted that genotoxicity was unlikely to explain the carcinogenic effects of PFOA.

f. Cancer is a serious disease where latent stages may be detected by periodic diagnostic medical examinations by established procedures, thereby allowing treatment at early stages where the outcome is more beneficial. The American Cancer Society has issued recommendations for cancer screening, and so has the Centers for Disease Control.<sup>bb</sup> For prostate cancer, measurement of the serum concentration of the prostate-specific antigen may be considered in conjunction with rectal exploration. Urinalysis is beneficial for early detection of kidney and bladder cancers, while regular scrotal examination is recommended for early detection of testicular cancer. These procedures are appropriate and necessary in the exposed populations and would differ from what would be a routine in the absence of exposure.

## VIII. RISK ASSESSMENT

As noted by prominent scientists from the U.S. EPA last year, risk assessment has failed when adverse health effects are demonstrated at exposure levels predicted from animal studies to be safe for humans [239]. Fortunately, regulatory agencies have begun to consider epidemiological evidence, as illustrated by the recently released evaluations by [50, 51]. In Section V, I described some of the major caveats when drawing conclusions on incomplete evidence and the common biases toward the null hypothesis. An NRC committee [36] highlighted an erroneous default assumption, i.e., the so-called "untested chemical assumption," that a chemical is innocuous, unless testing shows otherwise.

<sup>bb</sup> <https://www.cdc.gov/cancer/dccp/prevention/screening.htm>



I shall focus on the exposure levels that put the population(s) at increased risk and the likely target organs (or critical organs) that may suffer adverse effects at the lowest doses. This section will also include a brief review of exposure guidelines.

Regarding target organs, most regulatory risk assessments have focused on the liver, as rodent studies have clearly documented increased liver size and some functional changes as being strongly related to elevated PFAS exposures. However, species differences, particularly in regard to PPAR expression may complicate the translation of rodent data to the human situation. Other potential target organs, such as the immune system and the endocrine system, have been considered in animal studies, but only in part covering the subtler effects that are of concern for human health. Thus, reliance on animal studies of liver toxicity now appears not to protect adequately against adverse effects on the latter organ systems.

Adverse effects on immune functions and on breast development have been recently documented at background PFAS exposure levels. Consequently, many U.S. regulatory agencies have set current limits for PFASs in drinking water that are insufficient to protect against adverse health risks, especially in vulnerable subgroups. Benchmark calculations based on decreased response to vaccine suggest that existing limits may be 100- to 1000-fold too high [240].

The UN Stockholm Convention included in 2009 PFOS and its precursors on the list of substances to be phased out (Annex B), and it seems that PFOA will likewise be included in the very near future. EChA has already listed PFOA and recently added PFHxS to the EU Candidate List of substances of very high concern (SVHCs) for mandatory authorization procedures. These agencies clearly regard PFOA and associated PFASs a human health hazard.

#### A. Regulatory drinking water recommendations

The health advisories and water guidance levels identified by the EPA, individual states, and certain foreign governments and agencies vary from each other (see Table 4), based on values and assumptions used [241]. Differences between the acceptable limits are often due to differences in default values, e.g., for uncertainty factors used in the calculations, although estimates of water intakes and lifetime accumulation also differ. In addition, there is a clear tendency that limits decrease over time, as more evidence has become available.

*Table 4. Applicable guidelines or exposure limits for PFOA in drinking water.*

Agency	Year	Value (ng/L)	Reference
Michigan	2013	11	Michigan Department of Environmental Quality (MI DEQ). 2013. Rule 57 Water Quality Values, Surface Water Assessment Section. Accessed May 2016 <a href="http://www.michigan.gov/documents/deq/wqd-swqs-rule57_372470_7.pdf">http://www.michigan.gov/documents/deq/wqd-swqs-rule57_372470_7.pdf</a>
New Jersey	2017	14	<a href="http://www.nj.gov/dep/watersupply/pdf/pfoa_dwguidance.pdf">http://www.nj.gov/dep/watersupply/pdf/pfoa_dwguidance.pdf</a>
Vermont	2016	20	Vermont Agency of Natural Resources Department of Health Fact Sheet 11 April 2016
Minnesota	2017	35	<a href="http://www.health.state.mn.us/divs/eh/risk/guidance/gw/pfoa.pdf">http://www.health.state.mn.us/divs/eh/risk/guidance/gw/pfoa.pdf</a>
U.S. EPA	2016	70 for PFOS+PFOA	[242]



Most guideline values address individual PFASs, but some agencies have decided for a joint limit for PFOS and PFOA. Recent toxicology evidence suggests that adverse effects from some PFASs may not be additive only, given that synergistic effects have been identified [243, 244]. However, the epidemiology offers little guidance, and toxicology studies suggest that at least PFOA has at least some mode of action that differs from PFOS [93, 245].

The present subsection will first summarize the most relevant regulatory guidances for concentrations in drinking water. The great variability in the numbers illustrated by the above table must be considered in light of changing needs for recommendations in different settings, emerging scientific insight, and the latency within regulatory agencies in regard to developing new guidelines. Also, the guidance has been developed based on different definitions and assumptions. In the subsequent subsection, I shall discuss the major approaches to setting exposure limits.

## **1. Federal and state drinking water recommendations**

### ***U.S. EPA***

In 2009, the EPA issued provisional health advisories of 0.4 µg/L (400 ng/L) for PFOA, and 0.2 µg/L (200 ng/L) for PFOS [246]. At the time, EPA concluded that “[e]pidemiological studies of exposure to PFOA and adverse health outcomes in humans are inconclusive at present.” Also, the evidence for the carcinogenicity of PFOS is considered “suggestive of carcinogenicity.” Similar conclusions were drawn in 2015, when EPA updated their previously proposed guidelines for PFOA and PFOS in water to 0.07 µg/L (70 ng/L) for both, as based on calculations relying on the most recent toxicological and supporting data [95, 96]. The U.S. EPA has selected 0.00002 mg/kg/day (or 0.02 µg/kg·d) as the Reference Dose (RfD) for PFOA and 0.00003 mg/kg/day (or 0.03 µg/kg·d) as the RfD for PFOS.

### ***Agency for Toxic Substances and Disease Registry***

The Agency for Toxic Substances and Disease Registry (ATSDR) first issued a draft toxicological profile in 2009, but concluded that there was insufficient evidence at the time to develop a minimal risk level [22]. An updated version from 2015 [4] again focused on the experimental animal studies to develop a Minimal Risk Level (MRL) of 0.02 µg/kg·day for PFOA and 0.03 µg/kg·day for PFOS, as the only PFASs that had sufficient evidence to allow this calculation. The MRLs are the same as the EPA’s RfDs. The updated toxicological profile from ATSDR [50] was just released and could not be reviewed in detail for the purpose of the present report.

The newly released draft relies heavily on research on PFOA exposure conducted by the C8 Science Panel. In addition, the report considered studies of people exposed to PFAS compounds at work and general population studies of people exposed to “background” levels in the environment. PFAS have been “extensively evaluated in humans and laboratory animals,” ATSDR concludes, but says comparing toxicity across species is problematic, because the PFAS elimination half-lives are much longer in humans. The chemicals also cause different health problems in humans versus animals. The report also says: “In the absence of evidence to the

contrary, ATSDR assumes that humans are more sensitive to the effects of hazardous substance than animals and that certain persons may be particularly sensitive."

#### ***State limits and guidelines***

New Hampshire follows the U.S.EPA guidelines, but Vermont has established its own PFOA limit for drinking water at 20 ng/L.

New Jersey's recently revised recommendations [10] took into account a human cancer risk assessment. Based on evidence on testicular cancer in rats, a cancer slope factor was calculated, and a lifetime risk of  $1 \times 10^{-6}$  was found to correspond to a water concentration of 14 µg/L. Almost the same level was found when using liver weight as a sensitive non-cancer outcome and taking into regard uncertainty factors. Previously, scientists from New Jersey used data on breast development in a rodent study to calculate BMDL for an endocrine disruption outcome [7]. In this case, the BMDL was translated to a tolerable serum-PFOA concentration in humans of 0.8 ng/mL, thus suggesting that endocrine disruption may occur at low exposure levels where immunotoxicity is otherwise the only adverse effect documented so far.

Several other states have decided on PFAS limits for drinking water. Limits published more recently tend to be lower. For example, the State of North Carolina used assumptions similar to those previously used by New Jersey. As far as I am informed, calculation of water limits was generally based on adverse outcome measures in animal studies (e.g., liver weight), and not driven by epidemiological evidence. In addition, cancer and delayed breast development in animals have been considered as critical effects by the state of New Jersey only.

#### ***International limits and guidelines***

The most recent evaluation from the EFSA [51] just released relied on both experimental and epidemiological data. The tolerable weekly intake limits for PFOA and PFOS are now 6 ng/kg body weight and 13 ng/kg body weight. The PFOA limit represents a decrease by more than 1000-fold, as compared with the previous limit from 2008. When transformed into water limits (assuming that water represents 20% of the PFAS intake), the limits are 3 ng/L and 6.5 ng/L. These limits are currently the lowest internationally and are based on a thorough and independent assessment that includes the most recent literature.

### **B. Setting drinking water health limits**

Generally speaking, a health limit is calculated from a "point of departure," which in turn is based on a calculation starting from a benchmark dose, or from a LOAEL or NOAEL. The point of departure is usually derived from animal toxicity studies reflecting a point of critical effect. As cancer risk assessment has not been formally applied so far, the discussion here focuses on non-cancer risks.

The point of departure is then adjusted using knowledge of the half-life of the relevant PFAS in humans, and of the differences between humans and animals in the toxicity study in terms of water intake and retention, to arrive at a "human equivalent dose."

Then, because PFASs might not affect humans in exactly the same way that they affect, say, cynomolgus monkeys or laboratory rats tested in toxicity studies, we adjust the human equivalent dose by applying "uncertainty factors." There are multiple types of uncertainty factors that may be appropriate, depending on the nature of the animal study, what is known about the differences between the animal in the study and humans, and the strength of the "database" of knowledge about the health effects of PFOA. One uncertainty factor that might be applied concerns potential differences in toxicodynamics. Another concerns intraspecies variability (recognizing that PFASs might affect human subpopulations differently, including more vulnerable populations such as children). The various uncertainty factors are then multiplied to arrive at a total uncertainty factor.

A relative source contribution (RSC) is often a default based on EPA guidance such as 0.2 (or 20%), representing an assumption that only 20% of a person's exposure to the PFAS comes from drinking water sources. Additional information about exposure sources is required for departing from the 0.2 default.

The various regulatory agencies appear to be in overall agreement in using a benchmark dose model to calculate non-cancer health limits for drinking water [2]. In this method, a dose-response function is fitted to the data or adopted from a default assumption. The benchmark dose (BMD) is defined as the dose which leads to a specific loss (or degree of abnormality) known as the benchmark response (BMR) in the outcome variable. The BMR must be specified before the analysis.

In epidemiological studies, a 5% change is often used for the BMR. A larger BMR will lead to a higher BMD. The statistical uncertainty in the BMD estimation is taken into account by calculating its lower one-sided 95% confidence limit, i.e., the benchmark dose level (BMDL). The BMDL is used as the point of departure for calculation of the exposure limit.

Benchmark dose calculations for PFASs have been carried out using data from other toxicological studies, including a study in pregnant mice [247] and a study on breast development in pups [7]. The New Jersey committee [10] showed that these findings would result an RfD as low as 0.11 ng/kg-d. When modeling the results in terms of serum-PFOA concentrations, they showed that the Target Human Serum Level (analogous to the RfD expressed on a serum level basis) would be 0.8 ng/mL, i.e., below the median serum PFOA level in the U.S. general population. However, the New Jersey committee refrained from using this information for calculating a drinking water limit that would be lower than otherwise indicated.

Recent evidence has identified adverse effects of PFASs on sensitive outcomes in laboratory animals following developmental exposure [9] and on immune system functions in children [60]. When developmental toxicity is likely, a National Research Council committee 20 years ago proposed to include an extra 10-fold uncertainty factor to protect children against food contaminants [248]. Such factor has apparently not been used for water-PFAS exposures so far. The definition of the class populations takes this consideration into account.



### C. Recent guidelines and benchmark dose calculations

In our recent study of immunotoxicity [60], PFASs were measured in serum to assess prenatal and postnatal exposure in regard to associations with concentrations of two specific antibodies against childhood vaccines. The antibody responses could be categorized either in terms of the concentration as such or whether it was below the clinically protective concentration of 0.1 IU/mL. We calculated that the BMDL for the serum-PFOA concentrations at age 5 years are 0.6 and 1.0 ng/mL serum for tetanus and diphtheria antibody concentrations at age 7 [240]. These results are much below the BMDL values from animal studies, and they are also in the low range of current background exposures. These results indicate that adverse effects occur below current regulatory guidelines.

Some uncertainties remain and probably result in underestimations of the risk, and the results may therefore be biased toward higher and less protective levels. We applied standard default factors that correspond to routine practice within the EPA and other regulatory agencies. Our calculated water-PFOA limit based on immune-system effects suggests that current exposure limits in the United States remain too high. Thus, even when compared to the most recent guidelines or limits for PFOS and PFOA in drinking water, the recently lowered levels still appear too high to be protective (Table 5).

Table 5. Summary of guideline values (ng/L) for PFOS and PFOA in drinking water, as compared with the estimated limit corresponding to the benchmark dose calculations [240].

Authority	Year	PFOS	PFOA
U.S. EPA	2016	70	70
New Jersey	2017	13	14
ATSDR	2018	11	7
EFSA*	2018	6.5	3
BMDL-based	2013	<1	<1

\*Estimated from tolerable weekly intake levels, assuming 20% exposure contribution from water consumption.

## IX. INCREASED RISK IN THE EXPOSED POPULATION AND RECOMMENDATIONS FOR PERIODIC DIAGNOSTIC TESTING

As discussed in section V.A, there are important tendencies that will result in underestimations of PFAS toxicity. As discussed above, reliance on animal toxicity data with a focus on enlarged livers and similar routine outcomes from rodent toxicity studies can greatly underestimate the risk to human health. More targeted studies on immunotoxicity and endocrine disruption have recently been carried out in mice and revealed adverse effects at much lower



exposures than those that lead to liver damage, especially when exposures were determined from blood concentrations and not on the amount in the feed. Subsequently, human studies demonstrated that deficient antibody responses to routine vaccinations occur at elevated background PFAS exposures. Supporting studies showing more frequent infectious disease in children at higher PFAS exposure emphasize that the immune system is a highly vulnerable target organ. Thus, recent scientific insight suggests that, as it relates to children's immune systems and endocrine disruption, further consideration of protective levels may be necessary.

As an indication how new data can reveal adverse effects at exposure levels previously thought to be safe, the ATSDR Toxicology Profile in 2009 had concluded that no data were available on immunotoxicity in humans [22], but the authors did not know that our study in the Faroes was under way and was to be published a couple of years later [60]. The recent report from the NTP considers PFOS and PFOA "presumed" immunotoxicants, which is the level just below "known" [5]. This conclusion has not yet impacted risk assessments carried out by regulatory agencies at state level or otherwise. However, EFSA refers to immunotoxicity as a critical effect in humans in its opinion just released [51]. Also, an updated report is now available from ATSDR [50] that suggests lowered water exposure guidelines.

Given the fact that much of the leading scientific literature is fairly recent (see Figure 1), the conclusions that can be drawn at this point must be regarded preliminary and likely conservative to some extent, as they may represent underestimations of the health risks. Our current understanding is very different from 2008 when the first formal risk assessments were published [1, 249]. At the present time, the main uncertainty regards effects on the most vulnerable target organs and critical exposure conditions, such as prenatal exposures, that have yet to be studied in sufficient depth. The most appropriate conclusion that can be drawn is that adverse effects on breast development, on adaptive immune system development and elevations of serum-cholesterol concentrations likely represent critical effects and that BMDLs should focus on these outcomes. Although EFSA attempted to do that [51], the availability of data in deciles or other groupings resulted in substantial overestimations of the BMDLs. In this light, my above conclusions may well be underestimated.

Accordingly, definitions of elevated exposures are clearly conservative. They require at least one year of exposure to at least 70 ppt in the drinking water for adults and likewise at least 20 ppt for children. In comparison with background levels, such exposures will clearly result in significant elevations in risk.

#### **A. Recommendation for periodic diagnostic testing**

PFOA is a known health hazard in humans, and exposures must be limited to the extent possible. As this hazardous chemical remains in the body for many years, medical monitoring is recommended to identify latent stages of serious diseases by established screening methods that are known to detect early and treatable disease states. As outlined earlier, subjects below 20 years are considered significantly exposed to PFOA-contaminated drinking water if the water level was 20 ppt or above for at least one year (similar to the current guideline for water-PFOA in the State of Vermont). Otherwise, adults above 20 years are considered at substantially increased risk if they consumed contaminated drinking water at a level of 70 ppt for one year or more (the limit corresponding to the current U.S. EPA health guideline).

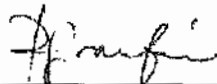
Medical monitoring is reasonably necessary to identify subclinical or latent forms of PFOA-associated disease pathogeneses, as this will help protecting the exposed populations against serious diseases as consequence of their significantly elevated PFAS exposures, as defined by the classes of exposed residents.

As discussed in Section VII, adverse effects relate to several organ systems. For immunotoxicity, a variety of options are available, such as immunoglobulin analysis of serum. In regard to ulcerative colitis, endoscopy can be considered. Likewise for reproductive toxicity, assessment of serum-testosterone is highly recommended for men. Thyroid hormone assessment is appropriate to detect latent cases of dysfunction. Medical monitoring would be highly appropriate to detect early cases of type 2 diabetes, using fasting plasma-glucose or the Hb1Ac in capillary blood. Developing liver toxicity is best detected by analyzing liver enzymes in serum. Measurement of cholesterol and triglycerides is highly appropriate to prevent the development of cardiovascular disease, and likewise monitoring of obesity development. For cancer development at relevant sites, screening approaches are available and known to be efficient in allowing intervention at an early stage.

Both hypercholesterolemia and type 2 diabetes are frequently overlooked and not diagnosed in time to prevent serious disease. As significantly elevated PFAS exposure adds substantially to the risk of developing latent or subclinical abnormalities, medical monitoring is particularly necessary for these conditions. Considerable experience exists in such screening practices, and efficient counter-measures are available that will reduce the disease burden in the exposed population. These procedures are not an overall routine in the U.S. health care system. These procedures differ from those that may be available to some subgroups of the general population, i.e., in the absence of significant PFAS exposure.

#### **X. AFFIRMATION**

I affirm under penalty of perjury that the foregoing is a true and correct statement of my opinions in this matter and the grounds for those opinions.



Philippe Grandjean  
22 June, 2018

**EXHIBIT A****ABBREVIATIONS**

ADHD	attention deficit hyperactivity disorder
ALT	alanine aminotransaminase, liver enzyme
APFO	ammonium perfluorooctanoate;
AP	alkaline phosphatase, liver enzyme
AST	aspartate aminotransferase, liver enzyme
ATSDR	Agency for Toxic Substances and Disease Registry
BMD	benchmark dose
BMDL	lower limit benchmark dose
BMI	body mass index
BMR	benchmark response
BUN	blood urea nitrogen
BW, bw	body weight
C8 or C-8	perfluorinated octane compounds
CDC	Centers for Disease Control and Prevention
CI	confidence interval
EChA	European Chemicals Agency
EPA	Environmental Protection Agency
FC-143	PFOA (with up to 3.5% C6, C7, and C9 compounds)
FDA	Food and Drug Administration
FSH	follicle-stimulating hormone
GOT	glutamic-oxaloacetic transaminase, same as AST
GPT	glutamic-pyruvic transaminase, same as ALT
HDL	high density lipoprotein
HRL	Health Risk Limit
IARC	International Agency for Research on Cancer
IGF	insulin-like growth factor
LD50	lethal dose, 50% kill
LDL	low density lipoprotein
LOAEL	lowest-observed-adverse-effect level
MeFOSE	see N-MeFOSE
MRL	Minimal Risk Level
MVDWW	Merrimack Village District Water Works
NHANES	National Health And Nutrition Examination Survey
NHDES	New Hampshire Department of Environmental Services
NOAEL	no observed adverse effect level
NRC	National Research Council
NTP	National Toxicology Program
OR	odds ratio
PFAS	perfluorinated alkylate substance
PFC	perfluorinated compound, see also PFAS
PFHxS	perfluorohexane sulfonic acid

PFNA	perfluorononanoic acid
PFOA	perfluorooctanoic acid
PFOS	perfluorooctane sulfonic acid
PPAR	peroxisome proliferator activated receptor
PTWI	provisional weekly tolerable intake
RfD	Reference Dose
T½	biological half-life
T2D	type 2 diabetes
T3	triiodothyronine
T4	thyroxine, thyroid hormone
TOF	total organic fluorine
TSCA	Toxic Substances Control Act
TSH	thyroid-stimulating hormone



## EXHIBIT B

### PHILIPPE GRANDJEAN, M.D. (CV)

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#### Home

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Email: [Pgrand@hsph.harvard.edu](mailto:Pgrand@hsph.harvard.edu)  
<http://www.hsph.harvard.edu/faculty/philippe-grandjean/>

#### Academic degrees

1974, M.D., University of Copenhagen  
1975, Diploma in basic medical research, University of Copenhagen  
1979, D.M.Sc. (dr.med.), University of Copenhagen

#### Chronology of employment

1974-1975	Postgraduate training fellowship, University of Copenhagen
1975-1978	Research fellow, Institute of Hygiene, Univ. Copenhagen
1978-1980	Senior research fellow, University of Copenhagen Visiting fellow, Department of Community Medicine, Mount Sinai School of Medicine, New York
1980-1982	Director, Department of Occupational Medicine, Danish National Institute of Occupational Health
1982-	Professor of Environmental Medicine, Odense University
1983-2017	Consultant in Toxicology, Danish Health Authority
1994-2002	Adjunct Professor of Public Health (Environmental Health) and Neurology, Boston University School of Medicine
2003-	Adjunct Professor of Environmental Health, Harvard School of Public Health

#### Awards and honors

Prize essay in medicine, University of Copenhagen (1972)  
 Fulbright senior research scholarship (1978)  
 Keynote speaker, Odense University anniversary (1983)  
 Gitlitz Memorial Lecture, Association of Clinical Scientists, USA (1985)  
 Knight of the Dannebrog, awarded by the Queen of Denmark (1990)  
 The Dannin prize for medical research (1991)  
 Fellow, American Association for the Advancement of Science (1994)  
 Irish Congress Lecturer, Royal College of Physicians of Ireland (1996)  
 Knight of the Dannebrog, First Degree, awarded by the Queen of Denmark (2003)  
 'Mercury madness award' for excellence in science in the public interest from eight US environmental organizations (2004)  
 Emeritus Fellow, International Union of Pure and Applied Chemistry, IUPAC (2009)  
 Honorary Research Award, International Order of Odd Fellows (2010)  
 Science Communication Award, University of Southern Denmark (2012)  
 Bernardino Ramazzini Award (2015)  
 Basic & Clinical Pharmacology & Toxicology Nordic Award (2015)  
 Margrethegaarden honorary prize (2016)  
 John R. Goldsmith Award, International Society for Environmental Epidemiology (2016)

#### Editorial boards

American Journal of Industrial Medicine (1987-2017)  
 Applied Organometal Chemistry (1985-1991)  
 Arbejdsmiljø (Occupational Environment, in Danish, 1983-1990)  
 Archives of Environmental Health (European Editor, 1986-1992)  
 Archives of Toxicology (1987-)  
 Biomarkers (1996-2001)  
 Central European Journal of Occupational and Environmental Medicine (2015-)  
 Critical Reviews in Toxicology (1985-2012)  
 Danish Medical Bulletin (1994-2003)  
 Environmental Health (Editor-in-Chief, 2002-)  
 Environmental Health Perspectives (2003-)  
 Environmental Research (1981-1994 and 2014-, Associate Editor, 1995-2014)  
 Industrial Health (2000-2005)  
 International Journal of Hygiene and Environmental Health (2001-)  
 International Journal of Occupational and Environmental Health (1994-2011)  
 International Journal of Occupational Medicine & Environ Health (1991-  
 Journal of Environmental Medicine (1998-1999)  
 Naturens Verden (Natural Science, in Danish) (1987-1991)  
 Ugeskrift for Læger (Danish Medical Journal, in Danish) (1991-2007)

#### Scientific societies

American Association for the Advancement of Science (Fellow, 1994)  
 American Public Health Association  
 Collegium Ramazzini (Fellow, 1987; Member of the Council, 2005-2013)  
 Danish Medical Association

Danish Societies of Clinical Chemistry, Epidemiology, Occupational and Environmental  
Medicine, and Public Health  
Faroese Society of Science and Letters  
International Commission on Occupational Health  
International Society for Environmental Epidemiology

Research support as Principal Investigator since 2000

2000-2006 NIEHS  
Mercury associated neurobehavioral deficit in children  
2001-2003 Nordic Arctic Research Programme (NARP)  
Changing patterns of biomagnified pollutants in the northern marine environment  
2001-2004 Danish Medical Research Council  
Exposure assessment for endocrine disruptors  
2002-2004 Danish Medical Research Council  
Environmental epidemiology research  
2003-2004 European Commission  
Assessment of Neurobehavioral Endpoints and Markers of Neurotoxicant Exposures  
(ANEMONE)  
2003-2005 Danish Medical Research Council  
Research in hormone related substances  
2003-2006 NIEHS ES11687  
Effects of perinatal disruptors in children  
2003-2007 EPA STAR RD-83075801-0  
Children's vulnerability to environmental immunotoxicant  
2004-2011 NIEHS ES12199  
Epidemiology of immunotoxicant exposure in children  
2006-2011 NIEHS ES13692  
Health effects of lifetime exposure to food contaminants  
2006-2012 NIEHS ES14460  
Three-generation human study of reproductive effects of marine food contaminants  
2008-2012 Danish Council for Strategic Research  
Environmental pollutant impact on antibody production against current and new childhood  
vaccines  
2007-2013 NIEHS ES009797  
Mercury associated neurobehavioral deficit in children

Major Current Funding as Principal Investigator

2011-2017 NIEHS ES012199  
Epidemiology of immunotoxicant exposure in children  
2012-2018 NIEHS ES021993 and NSF OCE-1321612  
Immunotoxicity in Humans with Lifetime Exposure to Ocean Pollutants  
2013-2018 NIEHS ES021477  
Glucose Metabolism in Adults Prenatally Exposed to Diabetogenic Pollutants  
2013-2018 NIEHS ES021372  
Pollutant-related diabetes in the Nurses' Health Study II  
2014-2017 NIEHS ES023376

Gut Microbiome in Adults with Early Life Exposures to Environmental Chemicals

2017-2022 NIEHS P42ES027706

Sources, Transport, Exposure and Effects of PFASs (STEEP). Superfund Research Program Center

Major committees, boards and elective offices

*Danish:*

Danish Medical Association: Member, Prevention Council (2011-2014)

Danish Medical Research Council: Consultant on environmental medicine (1985-1990);

Member, Joint Research Council Committee on Environmental Research (1986-1991);

Member of DMRC (1992-1998)

Danish Society of Community Medicine: Secretary (1977-1978)

Danish Society of Industrial Medicine: Board Member (1974-1983)

Ministry of Education: Member, Committee on Toxicology (1984-1986); Member, Committee on Environmental Education (1986-1987)

Ministry of the Environment: Member, Council on Environmental Chemicals (1983-1989);

Member, Environmental Appeal Board (1986-2010); Member, Environmental Research Council (1990-1992); Member, Advisory Committee on Pesticide Research (1995-2004);

Member, Advisory Committee on Arctic Research (1996-2004)

Ministry of Health: numerous committee appointments; Chair, Committee on Risk Perception (2000-2001)

Ministry of Labour: Consultant on Occupational Health, Council on Occupational Safety and Health (1983-1993); Member, Occupational Health Council Research Committee (on behalf of the Danish Medical Research Council) (1984-1990 and 1999-2003)

Ministry of Research: Chair, Committee on Research at the Faroe Islands (1995-1996); Member, Committee on Scientific Dishonesty (2004-2006); Chair, Committee on Non-Ionizing Radiation (2004-2009)

Odense University (from 2000 University of Southern Denmark), elected offices: Chairman, Institute of Community Health (1982-1985; 1996-1999); Member of Executive Committee, Institute of Community Health (from 2000 Institute of Public Health) (1986-1995; 2000-2005); Member, Faculty Research Committee (1983-1985); Member, Curriculum Committee (1984-1986); Member, Faculty Council (1985-1993); Vice-Dean (1991-1993); Member, Scientific Integrity Committee (2003-)

*United States and international:*

Academy of Finland: member of panel evaluating the National Institute of Public Health (1995), site visit of center of excellence (2001)

Agency for Toxic Substances and Disease Registry: Workshop Rapporteur, Neurobehavioral Test Batteries for Use in Environmental Health Field Studies (1992); Member, Expert Panel of Mercury (1998)

Association of Schools of Public Health in the European Region: Treasurer (1975-1977)

BioMedCentral: Member, Editors Advisory Group (2011-2013)

Boston Environmental Hazards Center: Consultant (1994-1999)

Collegium Ramazzini: President, International Conference, The precautionary principle:

Implications for research and prevention in environmental and occupational health (2002);

Member, Executive Council (2005-2013)



Commission of the European Communities: National Expert, Working Party on Environmental and Lifestyle-Related Diseases (1988-1990); ad hoc Consultant for evaluation of research applications; ad hoc Scientific Advisor on Risk Assessment (2009-); Member, SCHER Working group on Dental Amalgam (Human Health) (2012-2013)

European Environment Agency: Member, Scientific Committee (2012-2018)

European Food Safety Authority: Member, Panel on Contaminants in the Food Chain responsible for 85 opinions (2003-2009); Member of Working Groups on mercury, polychlorinated biphenyls, cadmium, lead, and benchmark dose

Food Advisory Committee, U.S.FDA, Methylmercury: invited expert (2002)

INMA (Infancia y Medio Ambiente), Spain: Member, Project Steering Committee (2010-)

Institut de Recherche Santé, Environnement et Travail, France: Member, Board of Advisers (2015-)

International Agency for Research on Cancer: Member of Task Group, Monographs on the Evaluation of Carcinogenic Risks to Humans, Vol. 47 (1988), Vol. 49 (1989), as chairman, Vol. 58 (1993), and as Subgroup chair, Vol. 100C (2009)

International Commission on Occupational Health: Danish Delegation Secretary (1982-90); Member, Scientific Committee on the Toxicology of Metals (1987-); Member of the Board (1990-1996)

International Programme on Chemical Safety: Member of Task Group, Environmental Health Criteria, Vol. 36 (1984) and 72 (1986)

International Society for Environmental Epidemiology: Councillor (1991-1994)

International Union of Pure and Applied Chemistry: Member, Subcommittee on the Toxicology of Nickel (1979-1989); Titular Member (1985-1991) and Chairman (1987-1991), Commission on Toxicology; Chairman, Subcommittee on Risk Assessment (1985-1989)

Karolinska Institute (Stockholm, Sweden): Member of international evaluation panel on environmental medicine (1993)

Ministry for Scientific Policy (Belgium): Consultant on national research program on health hazards (1990 and 1994)

National Institutes of Health (USA): Member of Special emphasis panels (2009-)

NATO Priority Area Panel on Environmental Security: Member (1996-1997)

Norwegian Research Council: ad hoc reviewer (2001-2008); Chairman of Environment and Health Review Group (2009-2010); member of steering committee (2011-2015)

Prenatal programming and Toxicity (PPTOX) conferences: Organizer/Chair/ Co-chair, Torshavn (2007), Miami (2009), Paris (2012), Boston (2014), Kita-Kyushu (2016)

Society of Occupational and Environmental Health: Member, Governing Council (1990-1993)

Swedish Council for Work Life Research: Member, Priority Committee on Chemical Health Risks (1997-1998)

U.N. Environment Programme: Member, Global Mercury Assessment Working Group (2002)

U.S. Environmental Protection Agency: Member, SAB/SAP Endocrine Disruptor Screening Program Subcommittee (1998-1999); Member, Food Quality Protection Act (FQPA) Science Review Board (SRB)(1999-2003)

White House Office of Science and Technology Policy: Team leader and presenter, Workshop on Scientific Issues Relevant to Assessment of Health Effects from Exposure to Methylmercury (1998)

World Health Organization: Temporary Adviser or Consultant on several occasions, five times elected Rapporteur; Member, European Advisory Committee on Health Research (2011-)

## EXHIBIT C

## LIST OF GRANDJEAN PUBLICATIONS FROM RECENT 10 YEARS

Publications in international peer-reviewed journals

174. Andersen HR, Schmidt IM, Grandjean P, Jensen TK, Budtz-Jørgensen E, Kjaerstad MB, Baelum J, Nielsen JB, Skakkebaek NE, Main KM. Impaired reproductive development in sons of women occupationally exposed to pesticides during pregnancy. *Environ Health Perspect* 2008; 116: 566-72.
175. Petersen MS, Halling J, Damkier P, Nielsen F, Grandjean P, Weihe P, Brøsen K. Polychlorinated biphenyl (PCB) induction of the CYP3A4 enzyme activity in Healthy Faroese adults. *Toxicol Appl Pharmacol* 2007; 224: 202-6.
176. Choi AL, Budtz-Jørgensen E, Jørgensen PJ, Steuerwald U, Debes F, Weihe P, Grandjean P. Selenium as a potential protective factor against mercury developmental neurotoxicity. *Environ Res* 2008; 107: 45-52.
177. Grandjean P. Seven deadly sins of environmental epidemiology and the virtues of precaution. *Epidemiology* 2008; 19: 158-62.
178. Grandjean P. Late insights into early origins of disease. *Basic Clin Pharmacol Toxicol* 2008; 102: 94-9.
179. Petersen MS, Weihe P, Choi A, Grandjean P. Increased prenatal exposure to methylmercury does not affect the risk of Parkinson's disease. *Neurotoxicology* 2008; 29: 591-5.
180. Petersen MS, Halling J, Bech S, Wermuth L, Weihe P, Nielsen F, Jørgensen PJ, Budtz-Jørgensen E, Grandjean P. Impact of dietary exposure to food contaminants on the risk of Parkinson's disease. *Neurotoxicology* 2008; 29: 584-90.
181. Halling J, Petersen MS, Brøsen K, Weihe P, Grandjean P. Genetic predisposition to Parkinson's disease: CYP2D6 and HFE in the Faroe Islands. *Pharmacogenet Genomics* 2008; 18: 209-12.
182. Choi A, Cordier S, Weihe P, Grandjean P. Negative confounding in the evaluation of toxicity: The case of methylmercury in fish and seafood. *Crit Rev Toxicol* 2008; 38: 877-93.
183. Grandjean P, Ozonoff D. Environmental Health: the first five years. *Environ Health* 2007; 6: 27.
184. Grandjean P, Choi A. The delayed appearance of a mercurial warning. *Epidemiology* 2008; 19: 10-1.
185. Pouzaud F, Ibbou A, Blanchemanche S, Grandjean P, Krempf M, Philippe H-J, Verger P. Use of advanced cluster analysis to characterize seafood consumption patterns and methylmercury exposures among pregnant women. *J Exp Anal Environ Epidemiol* 2010; 20: 54-68.
186. Grandjean P, Perez M. Developmental neurotoxicity: Implications of methylmercury research. *International Journal of Environment and Health* 2008; 2: 417-28.
187. Choi AL, Grandjean P. Methylmercury exposure and health effects in humans. *Environ Chem* 2008; 5: 112-20.
188. Weihe P, Kato K, Calafat AM, Nielsen F, Wanigatunga AA, Needham LL, Grandjean P. Serum concentrations of polyfluoroalkyl compounds in Faroese whale meat consumers. *Environ Sci Technol* 2008; 42: 6291-5.
189. Grandjean P, Budtz-Jørgensen E, Barr DB, Needham LL, Weihe P, Heinzow B. Elimination half-lives of polychlorinated biphenyl congeners in children. *Environ Sci Technol* 2008; 42:

6991-6.

190. Coccini T, Manzo L, Debes F, Weihe P, Grandjean P. Application of lymphocyte muscarinic receptors and platelet monoamine oxidase-B as biomarkers of CNS function in a Faroese children cohort prenatally exposed to methylmercury and PCBs. *Biomarkers* 2009; 14: 67-76.
191. Budtz-Jørgensen E, Debes F, Weihe P, Grandjean P. Structural equation models for meta-analysis in environmental risk assessment. *Environmetrics* 2010; 21: 510-27.
192. Choi AL, Weihe P, Budtz-Jørgensen E, Jørgensen PJ, Salonen JT, Tuomainen T-P, Murata K, Nielsen HP, Petersen MS, Askham J, Grandjean P. Methylmercury exposure and adverse cardiovascular effects in Faroese whalingmen. *Environ Health Perspect* 2009; 117: 369-72.
193. Bjørling-Poulsen M, Andersen HR, Grandjean P. Potential developmental neurotoxicity of pesticides used in Europe. *Environ Health* 2008; 7: 50.
194. Julvez J, Grandjean P. Neurodevelopmental toxicity risks due to occupational exposure to industrial chemicals during pregnancy. *Indust Health* 2009; 47: 459-68.
195. Grandjean P, Budtz-Jørgensen E. An ignored risk factor in toxicology: The total imprecision of exposure assessment. *Pure Appl Chem* 2010; 82: 383-91.
196. Kirkegaard M, Sonne C, Dietz R, Letcher RJ, Jensen AL, Hansen SS, Jenssen BM, Grandjean P. Alterations in thyroid hormone status in Greenland sledge dogs exposed to whale blubber contaminated with organohalogen compounds. *Environ Qual Saf* 2011; 74: 157-63.
197. Blair A, Saracci R, Vineis P, Cocco P, Forastiere F, Grandjean P, Kogevinas M, Kriebel D, McMichael A, Pearce N, Porta M, Samet J, Sandler DP, Costantini RS, Vainio H. Epidemiology, public health and the rhetoric of false positives. *Environ Health Perspect* 2009; 117: 1809-13.
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